

# Petri nets: Structural analysis

# Structural Analysis: Motivation

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We have seen how properties of Petri nets can be proved by constructing the reachability graph and analysing it.

However, the reachability graph may become huge: exponential in the number of places (if it is finite at all).

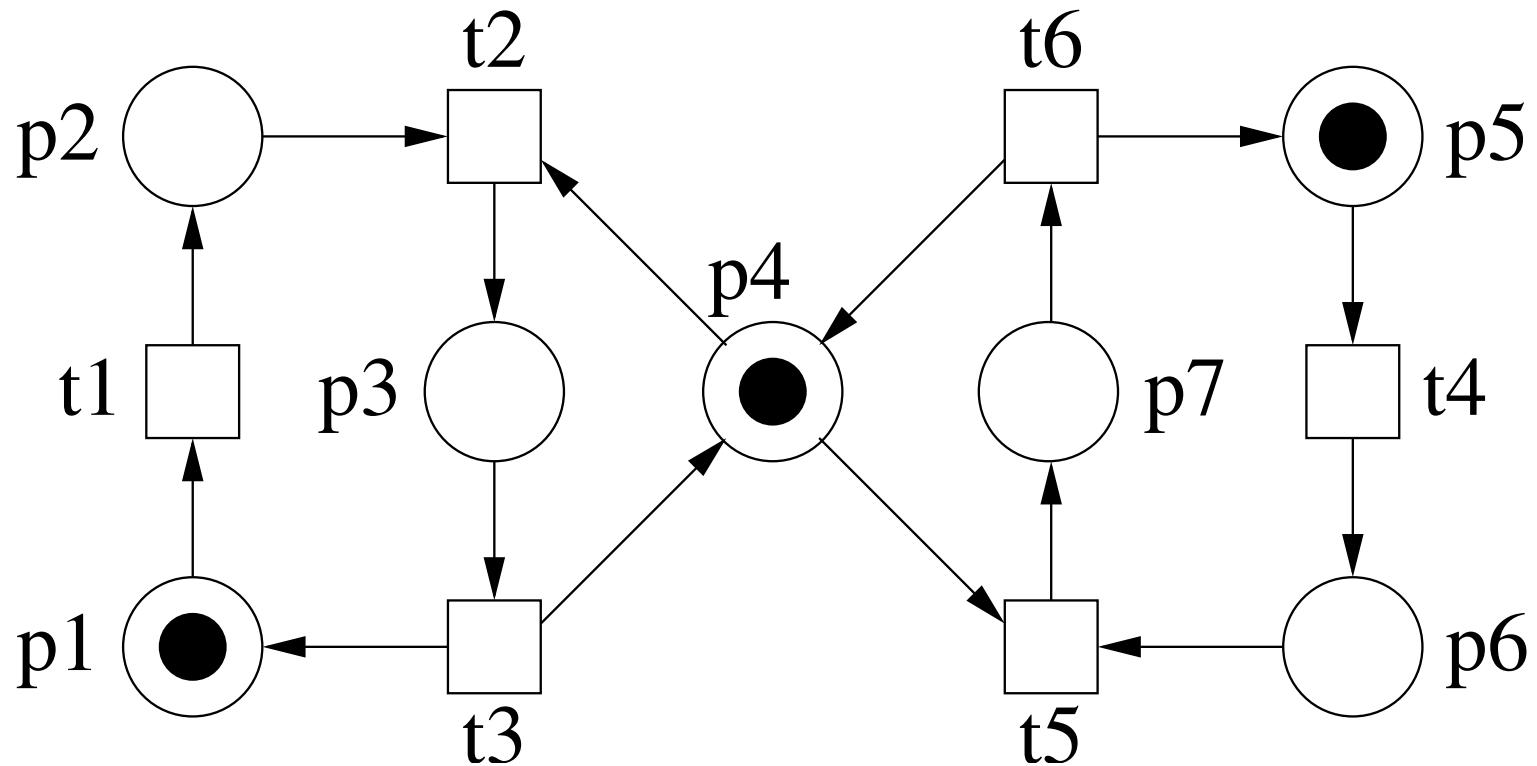
Structural analysis enables us to prove some properties *without* constructing the reachability graph. The main techniques are:

Place invariants

Traps

# Example 1

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# Incidence Matrix

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Let  $N = \langle P, T, F, W, M_0 \rangle$  be a P/T net. The corresponding **incidence matrix**  $C: P \times T \rightarrow \mathbb{Z}$  is the matrix whose rows correspond to places and whose columns correspond to transitions. Column  $t \in T$  denotes how the firing of  $t$  affects the marking of the net:  $C(t, p) = W(t, p) - W(p, t)$ .

The incidence matrix of Example 1:

$$\begin{pmatrix} t_1 & t_2 & t_3 & t_4 & t_5 & t_6 \\ -1 & 0 & 1 & 0 & 0 & 0 \\ 1 & -1 & 0 & 0 & 0 & 0 \\ 0 & 1 & -1 & 0 & 0 & 0 \\ 0 & -1 & 1 & 0 & -1 & 1 \\ 0 & 0 & 0 & -1 & 0 & 1 \\ 0 & 0 & 0 & 1 & -1 & 0 \\ 0 & 0 & 0 & 0 & 1 & -1 \end{pmatrix} \begin{matrix} p_1 \\ p_2 \\ p_3 \\ p_4 \\ p_5 \\ p_6 \\ p_7 \end{matrix}$$

# Markings as vectors

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Let us now write markings as column vectors. E.g., the initial marking in Example 1 is  $M_0 = (1\ 0\ 0\ 1\ 1\ 0\ 0)^T$ .

Likewise, we can write firing counts as column vectors with one entry for each transition. E.g., if each of the transitions  $t_1$ ,  $t_2$ , and  $t_4$  fires once, we can express this with  $u = (1\ 1\ 0\ 1\ 0\ 0)^T$ .

Then, the result of firing these transitions can be computed as  $M_0 + C \cdot u$ .

$$\begin{pmatrix} 1 \\ 0 \\ 0 \\ 1 \\ 1 \\ 0 \\ 0 \end{pmatrix} + \begin{pmatrix} -1 & 0 & 1 & 0 & 0 & 0 \\ 1 & -1 & 0 & 0 & 0 & 0 \\ 0 & 1 & -1 & 0 & 0 & 0 \\ 0 & -1 & 1 & 0 & -1 & 1 \\ 0 & 0 & 0 & -1 & 0 & 1 \\ 0 & 0 & 0 & 1 & -1 & 0 \\ 0 & 0 & 0 & 0 & 1 & -1 \end{pmatrix} \cdot \begin{pmatrix} 1 \\ 1 \\ 0 \\ 1 \\ 0 \\ 0 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 1 \\ 0 \\ 0 \\ 1 \\ 0 \end{pmatrix}$$

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Let  $N$  be a P/T net with incidence matrix  $C$ , and let  $M, M'$  be two markings of  $N$ .  
The following implication holds:

If  $M' \in \text{reach}(M)$ , then there exists a vector  $u$  such that  $M' = M + C \cdot u$   
such that all entries in  $u$  are natural numbers.

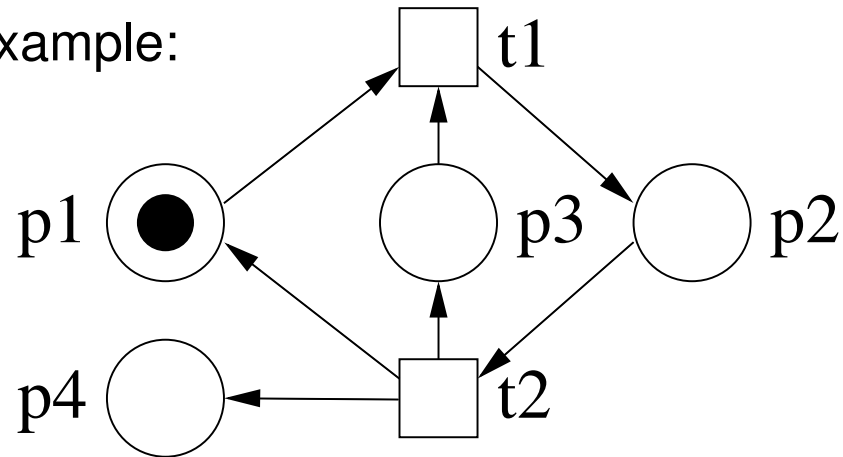
Notice that the reverse implication does **not** hold in general!

E.g., bi-directional arcs (an arc from a place to a transition and back) cancel each other out in the matrix. For instance, if Example 1 contained a bi-directional arc between  $p_1$  and  $t_3$ , the matrix would remain the same, but the marking  $\{p_3, p_6\}$  (obtained on the previous slide) would be unreachable!

## Example 2

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A more complicated example:



Even though we have

$$\begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \end{pmatrix} + \begin{pmatrix} -1 & 1 \\ 1 & -1 \\ -1 & 1 \\ 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} 1 \\ 1 \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \\ 0 \\ 1 \end{pmatrix},$$

none of the sequences corresponding to  $(1 \ 1)^T$ , i.e.  $t_1 t_2$  or  $t_2 t_1$ , can happen.

# Proving unreachability using the incidence matrix

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To summarize: The markings obtained by computing with the incidence matrix are an over-approximation of the actual reachable markings

However, we *can* sometimes use the matrix equations to show that a marking  $M$  is *unreachable*. (Compare coverability graphs...)

I.e., a corollary of the previous implication is that if  $M' = M + Cu$  has no natural solution for  $u$ , then  $M' \notin reach(M)$ .

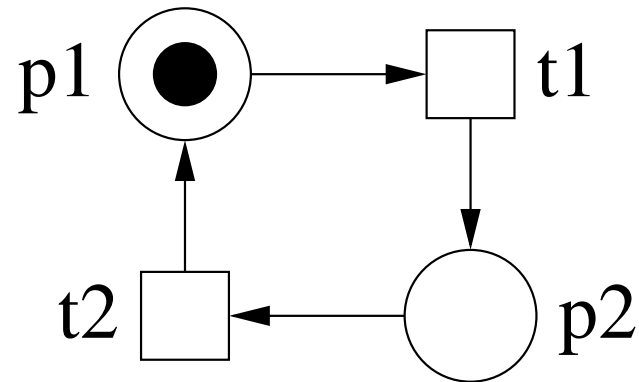
**Note:** When we are talking about natural (integral) solutions of equations, we mean those whose components are natural (integral) numbers.



## Example 3

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Consider the following net and the marking  $M = (1 \ 1)^T$ .



$$\begin{pmatrix} 1 \\ 0 \end{pmatrix} + \begin{pmatrix} -1 & 1 \\ 1 & -1 \end{pmatrix} \cdot \begin{pmatrix} u_1 \\ u_2 \end{pmatrix} = \begin{pmatrix} 1 \\ 1 \end{pmatrix}$$

has no solution, and therefore  $M$  is not reachable.

# Transition invariants

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Let  $N$  be a net and  $C$  its incidence matrix. A natural solution of the equation  $Cu = 0$  is called a **transition invariant** (or: **T-invariant**) of  $N$ .

Notice that a T-invariant is a vector with one entry for each transition.

For instance, in Example 3,  $u = (1 \ 1)^T$  is a T-invariant.

A T-invariant indicates a possible loop in the net, i.e. a sequence of transitions whose net effect is null, i.e. which leads back to the marking it starts in.

# Place invariants

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Let  $N$  be a net and  $C$  its incidence matrix. A natural solution of the equation  $C^T x = 0$  such that  $x \neq 0$  is called a **place invariant** (or: **P-invariant**) of  $N$ .

Notice that a P-invariant is a vector with one entry for each place.

For instance, in Example 1,  $x_1 = (1\ 1\ 1\ 0\ 0\ 0\ 0)^T$ ,  $x_2 = (0\ 0\ 1\ 1\ 0\ 0\ 1)^T$ , and  $x_3 = (0\ 0\ 0\ 0\ 1\ 1\ 1)^T$  are all P-invariants.

A P-invariant indicates that the number of tokens in all reachable markings satisfies some linear invariant (see next slide).

# Properties of P-invariants

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Let  $M$  be marking reachable with a transition sequence whose firing count is expressed by  $u$ , i.e.  $M = M_0 + Cu$ . Let  $x$  be a P-invariant. Then, the following holds:

$$M^T x = (M_0 + Cu)^T x = M_0^T x + (Cu)^T x = M_0^T x + u^T C^T x = M_0^T x$$

For instance, invariant  $x_2$  means that all reachable markings  $M$  satisfy (switching to the functional notation for markings):

$$M(p_3) + M(p_4) + M(p_7) = M_0(p_3) + M_0(p_4) + M_0(p_7) = 1 \quad (1)$$

As a special case, a P-invariant in which all entries are either 0 or 1 indicates a set of places in which the number of tokens remains unchanged in all reachable markings.

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Note that linear combinations of P-invariants (i.e. multiplying an invariant by a constant or component-wise addition of two invariants) will again yield a P-invariant.

We can use P-invariants to prove mutual exclusion properties.

Example: According to equation 1, in every reachable marking of Example 1 exactly one of the places  $p_3$ ,  $p_4$ , and  $p_7$  is marked. In particular,  $p_3$  and  $p_7$  cannot be marked concurrently!

## More remarks on P-invariants

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P-invariants can also be useful as a *pre-processing step* for reachability analysis.

Suppose that when computing the reachability graph, the marking of a place is normally represented with  $n$  bits of storage. E.g. the places  $p_3$ ,  $p_4$ , and  $p_7$  together would require  $3n$  bits.

However, as we have discovered invariant  $x_2$ , we know that exactly one of the three places is marked in each reachable marking.

Thus, we just need to store in each marking *which* of the three is marked, which required just two bits.

# Algorithms for P-invariants

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To compute some P-invariants, one can use the algorithm due to **J. Farkas** (1902).

Unfortunately there are P/T-nets with an exponential number of linearly independent P-invariants (in the number of places of the net). Thus the Farkas algorithm may take exponential time in the worst case.

# Farkas Algorithm

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Input: the incidence matrix  $C$  with  $n$  rows (places), and  $m$  columns (transitions).

Output: A set of place invariants.

Notation:  $(C \mid E_n)$  denotes the juxtaposition of  $C$  by  $E_n$ , the  $n \times n$  identity matrix.



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$D_0 := (C \mid E_n);$

**for**  $i := 1$  **to**  $m$  **do**

**for**  $d_1, d_2$  rows in  $D_{i-1}$  such that  $d_1(i)$  and  $d_2(i)$  have opposite signs **do**

$d := |d_2(i)| \cdot d_1 + |d_1(i)| \cdot d_2; \quad (* d(i) = 0 *)$

$d' := d / \gcd(d(1), d(2), \dots, d(m+n));$

        augment  $D_{i-1}$  with  $d'$  as last row;

**endfor**;

    delete all rows of the (augmented) matrix  $D_{i-1}$  whose  $i$ -th component is different from 0, the result is  $D_i$ ;

**endfor**;

delete the first  $m$  columns of  $D_m$

# An example

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Let us assume the following incidence matrix:

$$C = \begin{pmatrix} -1 & 1 & 1 & -1 \\ 1 & -1 & -1 & 1 \\ 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & -1 \\ -1 & 0 & 0 & 1 \end{pmatrix}$$

$$D_0 = (C \mid E_5) = \begin{pmatrix} -1 & 1 & 1 & -1 & | & 1 & 0 & 0 & 0 & 0 \\ 1 & -1 & -1 & 1 & | & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & | & 0 & 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & -1 & | & 0 & 0 & 0 & 1 & 0 \\ -1 & 0 & 0 & 1 & | & 0 & 0 & 0 & 0 & 1 \end{pmatrix}$$

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Addition of the rows 1 and 2, 1 and 4, 2 and 5, 4 and 5:

$$D_1 = \left( \begin{array}{cccc|cccc} 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & -2 & 1 & 0 & 0 & 1 & 0 \\ 0 & -1 & -1 & 2 & 0 & 1 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 \end{array} \right)$$

Addition of rows 3 und 4:

$$D_2 = \left( \begin{array}{cccc|cccc} 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 1 & 1 & 0 & 1 & 1 \end{array} \right)$$

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$$D_3 = D_4 = \left( \begin{array}{cccc|cccc} 0 & 0 & 0 & 0 & 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 1 & 1 & 0 & 1 & 1 \end{array} \right)$$

Minimal P-invariants are  $(1, 1, 0, 0, 0)$  and  $(0, 0, 0, 1, 1)$ .

# An example with many P-invariants

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Incidence matrix for a net with  $2n$  places:

$$C^T = \begin{pmatrix} -1 & -1 & 1 & 1 & 0 & 0 & \cdots & 0 & 0 \\ -1 & -1 & 0 & 0 & 1 & 1 & \cdots & 0 & 0 \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ -1 & -1 & 0 & 0 & 0 & 0 & \cdots & 1 & 1 \end{pmatrix}$$

$(y_1, 1 - y_1, y_2, 1 - y_2, \dots, y_n, 1 - y_n)$  is an invariant for every  $y_1, y_2, \dots, y_n \in \{0, 1\}$ , and so there are  $2^n$  linearly independent P-invariants.

# Traps

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Let  $\langle P, T, F, W, M_0 \rangle$  be a P/T net. A **trap** is a set of places  $S \subseteq P$  such that  $S^\bullet \subseteq \bullet S$ .

In other words, each transition which removes tokens from a trap must also put at least one token back to the trap.

A trap  $S$  is called **marked** in marking  $M$  iff for at least one place  $s \in S$  it holds that  $M(s) \geq 1$ .

**Note:** If a trap  $S$  is marked initially (i.e. in  $M_0$ ), then it is also marked in all reachable markings.

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In Example 4 (see next slide),  $S_1 = \{nc_1, nc_2\}$  is a trap.

The only transitions that remove tokens from this set are  $t_2$  and  $t_5$ . However, both also add new tokens to  $S_1$ .

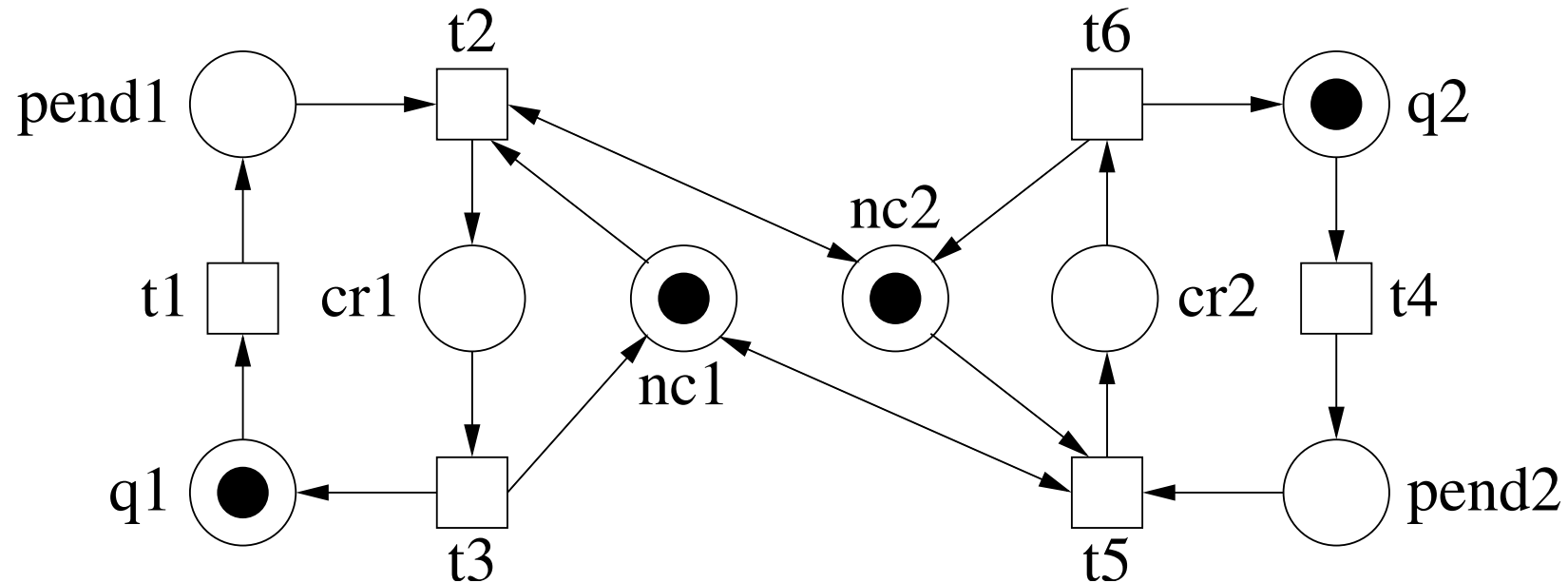
$S_1$  is marked initially, and therefore in all reachable markings  $M$  the following inequality holds:  $M(nc_1) + M(nc_2) \geq 1$

Traps can be useful in combination with place invariants to recapture information lost in the incidence matrix due to the cancellation of self-loop arcs.

## Example 4

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Consider the following attempt at a mutual exclusion algorithm for  $cr_1$  and  $cr_2$ :



The idea is to achieve mutual exclusion by entering the critical section only if the other process is not already there.



# Proving mutual exclusion properties using traps

In Example 4, we want to prove that in all reachable markings  $M$ ,  $cr_1$  and  $cr_2$  cannot be marked at the same time. This can be expressed by the following inequality:

$$M(cr_1) + M(cr_2) \leq 1$$

The P-invariants we can derive in the net yield these equalities:

$$M(q_1) + M(pend_1) + M(cr_1) = 1 \quad (2)$$

$$M(q_2) + M(pend_2) + M(cr_2) = 1 \quad (3)$$

$$M(cr_1) + M(nc_1) = 1 \quad (4)$$

$$M(cr_2) + M(nc_2) = 1 \quad (5)$$

However, these equalities are insufficient to prove the desired property!

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Recall that  $S_1 = \{nc_1, nc_2\}$  is a trap.

$S_1$  is marked initially and therefore in all reachable markings  $M$ . Thus:

$$M(nc_1) + M(nc_2) \geq 1 \tag{6}$$

Now, adding (4) and (5) and subtracting (6) yields  $M(cr_1) + M(cr_2) \leq 1$ , which proves the mutual exclusion property.

# Petri nets: Unfoldings

# Unfoldings

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**Unfoldings** are a data structure that represents the reachable markings of a Petri net.

They are used for *bounded* nets! In the following, we assume 1-boundedness; the technique can be extended to arbitrary bounds.

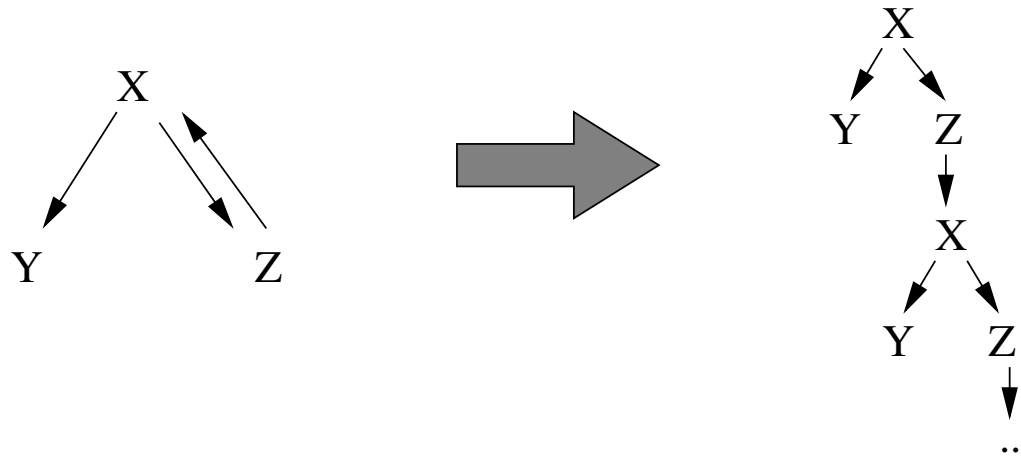
Unfoldings represent a trade-off in terms of time/space requirements; their size is in between that of a net and its reachability graph, and checking whether a marking is reachable becomes easier than for the net, but more difficult than from the reachability graph.

Unfoldings exploit the inherent concurrency of a Petri net.

# Unfoldings for finite transition systems

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Let  $\mathcal{T}$  be a finite transition system with initial state  $X$ . One can define the acyclic unfolding  $\mathcal{U}_{\mathcal{T}}$  (which is used for CTL model checking):



**Remark:**  $\mathcal{U}_{\mathcal{T}}$  can be viewed as a structure in which every state is labelled by a state from  $\mathcal{T}$ . We denote this labelling by the function  $B$ .

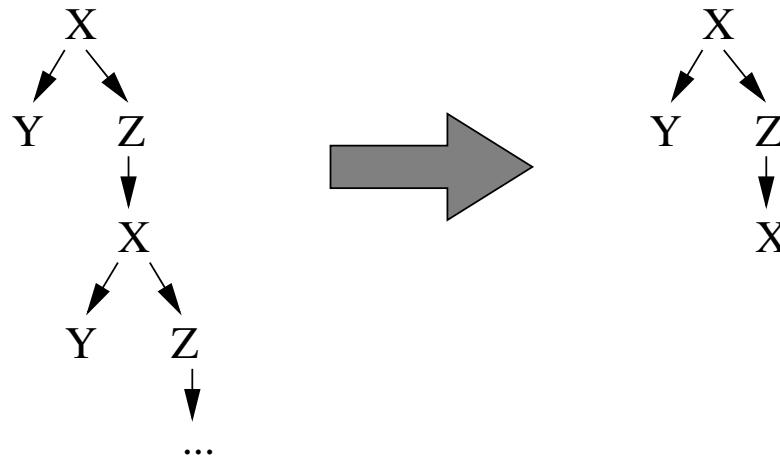
$\mathcal{U}_{\mathcal{T}}$  contains the same behaviours as  $\mathcal{T}$  (and the same reachable states). Additionally,  $\mathcal{U}_{\mathcal{T}}$  has a simpler structure (acyclic, in fact, a tree). However, in general,  $\mathcal{U}_{\mathcal{T}}$  is *infinite*.

# Prefixes

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$\mathcal{P}$  is called a **prefix** of  $\mathcal{U}_{\mathcal{T}}$  if  $\mathcal{P}$  is obtained by “pruning” arbitrary branches of  $\mathcal{U}_{\mathcal{T}}$ .

Example:



**Observation:** One can always find a *finite* prefix containing the same reachable states as the infinite unfolding (by unrolling loops exactly once). We shall call such a prefix **complete**.

# Construction of complete prefixes

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Let us discuss an algorithm to obtain a complete prefix of  $\mathcal{U}_T$ .

The algorithm maintains a set  $\mathcal{E}$ , the set of states observed so far.

Some arcs in the prefix will be called **cutoffs**, we shall mark them **red**.

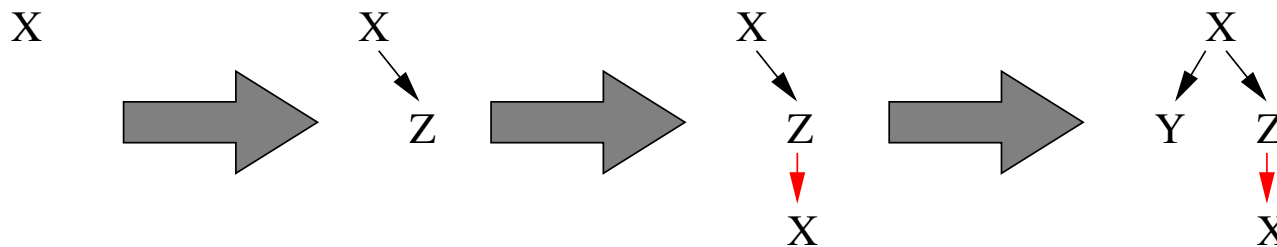
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1. Initially, the prefix contains only the root, labelled by  $X$ . We set  $\mathcal{E} := \{X\}$ .
  2. Select a node  $n$  on the prefix that is not the target of a cutoff edge. Let  $B(n) = Y$  be the label of the node, and let  $Z$  be a state with  $Y \rightarrow Z$  such that the prefix does not contain any edge from  $n$  to a  $Z$ -labelled node.
    - 2a. If no such pair  $n, Z$  exists, we are done.
    - 2b. Otherwise, add a new,  $Z$ -labelled node to the prefix and add an edge from  $n$  to it.
    - 2c. If  $Z \in \mathcal{E}$ , then the new edge is a cutoff. Otherwise, set  $\mathcal{E} := \mathcal{E} \cup \{Z\}$ .
  3. Continue at step 2.



# Example

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Step-by-step construction of the prefix in the previous example:



**Observation (1):** A complete prefix contains as many transitions as  $\mathcal{T}$ .

**Observation (2):** The shape of the prefix depends on the *order* in which edges are added!

# Unfoldings for Petri nets

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We generalize unfoldings for Petri nets, as follows:

The unfolding of a Petri net  $\mathcal{P}$  (or, a prefix of the same) is an *acyclic* Petri net  $\mathcal{Q}$ .

**Assumption:** Suppose that  $\mathcal{P}$  is 1-safe.

**Remark:** In the following, we call the places of  $\mathcal{Q}$  **conditions**, the transitions of  $\mathcal{Q}$  **events**. This merely serves to better distinguish the elements of  $\mathcal{P}$  and  $\mathcal{Q}$ , functionally they are the same!

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Every condition of  $\mathcal{Q}$  is labelled by a place of  $\mathcal{P}$ ,  
every event of  $\mathcal{Q}$  by a transition of  $\mathcal{P}$ .

Every event  $t'$  is of the form  $(P', t)$ , where  $P'$  is the preset of  $t'$  and  $t$  the label  
of  $t'$ .

Let  $P'$  be a set of conditions.  $B(P')$  denotes the set of places labelling the  
elements of  $P'$ .

Every condition has exactly one incoming arc.

Some events in a complete prefix are labelled as cutoffs.

# Prefix construction for Petri nets

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1. Let  $M_0$  be the initial marking of  $\mathcal{P}$ . Then  $\mathcal{Q}$  initially contains one condition for each place in  $M_0$ . The initial marking of  $\mathcal{Q}$  contains exactly these conditions. We set  $\mathcal{E} := \{M_0\}$ .

2. Let  $t$  be a transition of  $\mathcal{P}$  and  $P'$  a set of conditions none of which is the output place of a cutoff transition. Moreover, let  $P'$  be coverable in  $\mathcal{Q}$  (i.e., part of a reachable marking), let  $B(P') = \bullet t$ , and suppose that  $(P', t)$  is not yet contained in  $\mathcal{Q}$ .

2a. If no such pair  $(P', t)$  exists, we are done.

2b. Add the event  $t' := (P', t)$  to the prefix (with  $P'$  as preset and label  $t$ ).

Moreover, extend the prefix by one condition for every output place of  $t$  and make it an output place of  $t'$ .

2c. We associate with  $t'$  a marking  $M_{t'}$  (which is reachable in  $\mathcal{P}$ ) (see below). If  $M_{t'} \in \mathcal{E}$ , then  $t'$  is a cutoff. Otherwise  $\mathcal{E} := \mathcal{E} \cup \{M_{t'}\}$ .

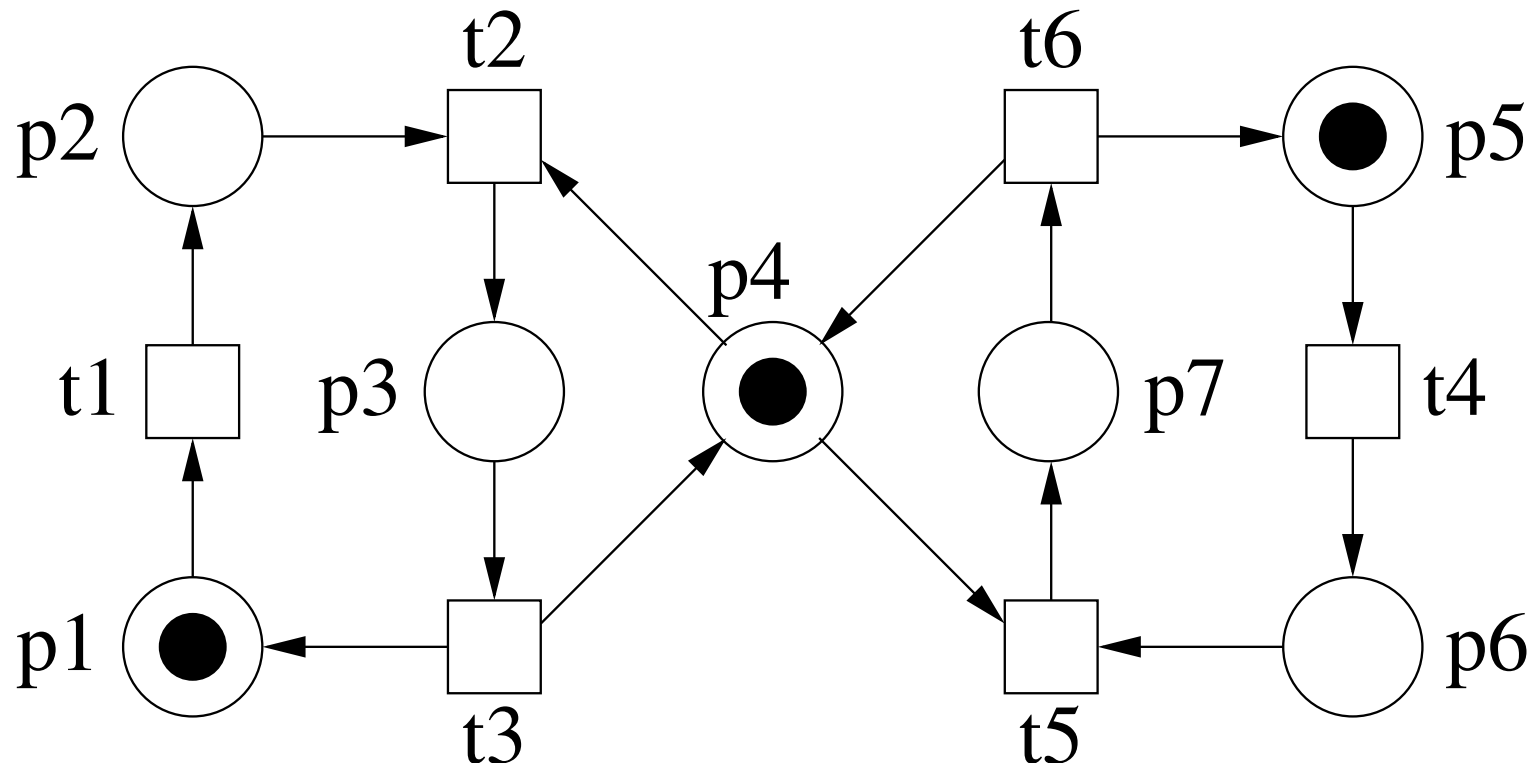
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**Remark:** If we omit step 2c (no cutoffs), then we obtain the full unfolding of  $\mathcal{P}$ .

The shape of  $\mathcal{Q}$  again depends on the order in which events are added. (More on this in a moment!)

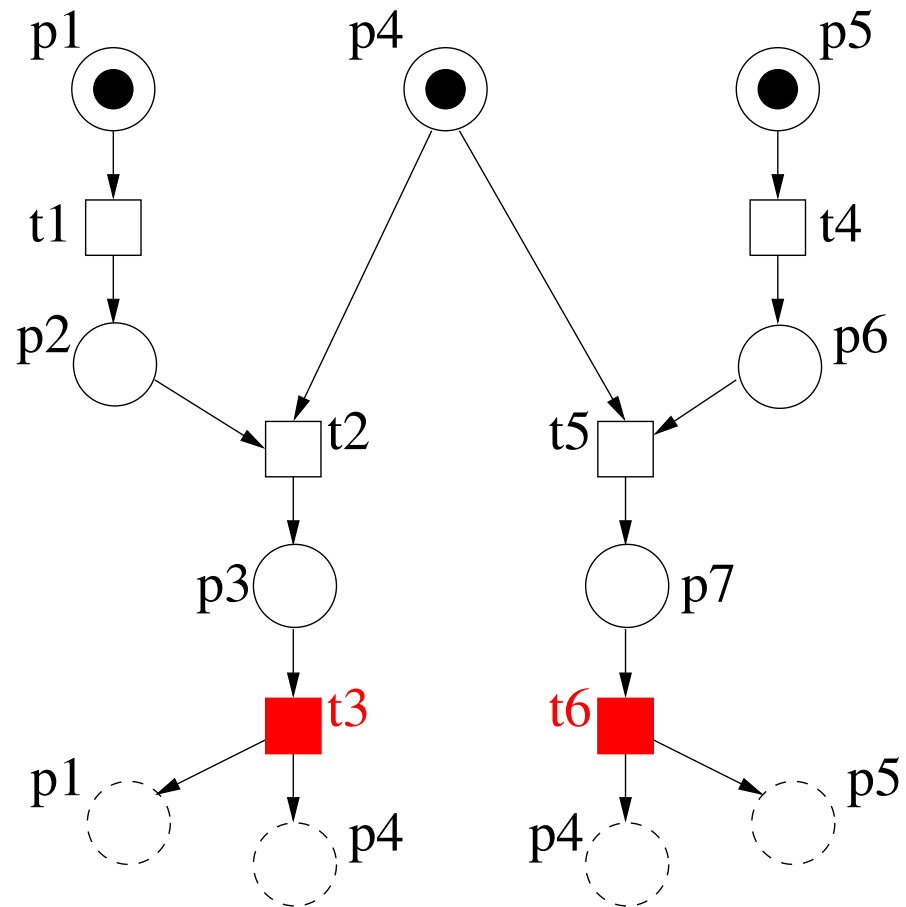
# Example 1: Petri net...

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# ... and a possible prefix of the unfolding

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# Determining $M_{t'}$

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When adding  $t' = (P', t)$  to the prefix,  $M_{t'}$  is determined as follows:

**Idea:**  $M_{t'}$  is the marking obtained by making the “minimal” effort to fire  $t'$ .

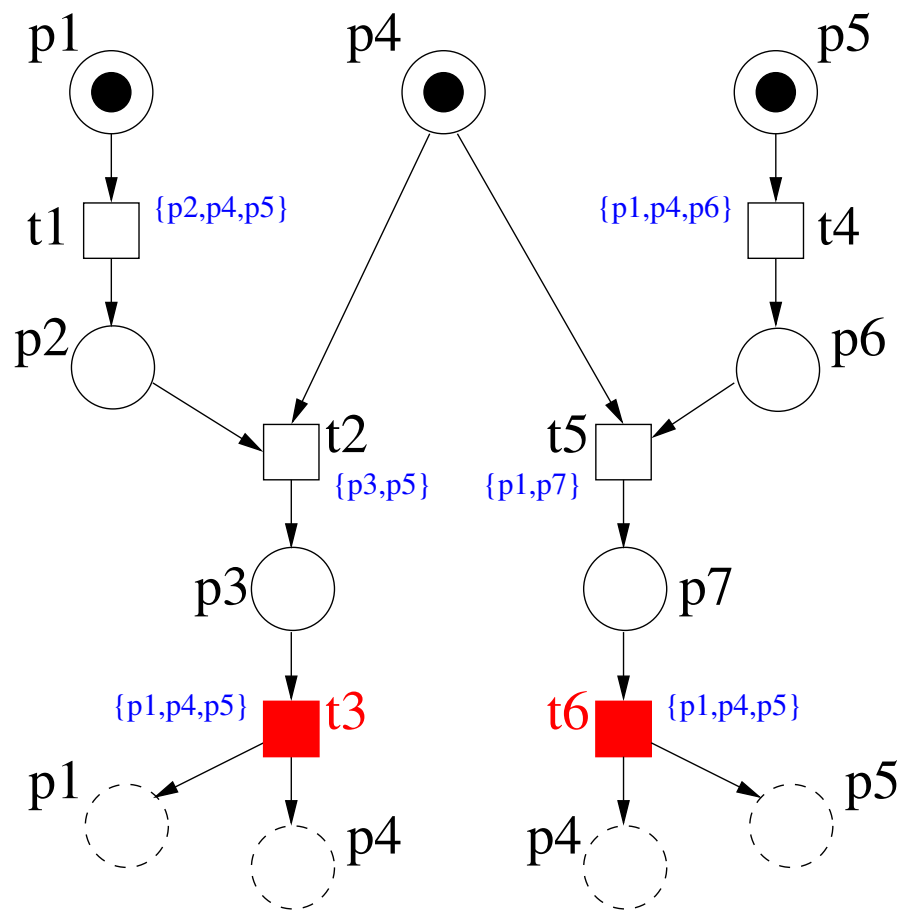
Let  $x, y$  be two nodes (conditions *or* events) in  $\mathcal{Q}$ . Let  $<$  be the smallest partial order where  $x < y$  if there is an edge from  $x$  to  $y$ .

Let  $x$  be a node of  $\mathcal{Q}$ . We define  $\lfloor x \rfloor := \{y \mid y \leq x\}$ .

Let  $M_{t'}$  be the marking obtained by firing the transitions of  $\lfloor t' \rfloor$  (in any order).

**Note:** Such a firing sequence exists since  $P'$  is coverable.





# Remarks

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The construction of  $\mathcal{Q}$  terminates since  $M_t$  is reachable in  $\mathcal{P}$  and since there are only finitely many reachable markings in  $\mathcal{P}$ .

In most cases, the prefix is

bigger than  $\mathcal{P}$ ;

smaller than its reachability graph.

# Conflict, causality, concurrency

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From the structure of the unfolding we can derive statements about the mutual relationships of conditions:

Let  $p, q$  be two (different) conditions of  $\mathcal{Q}$ .

$p, q$  are called **causally dependent** if  $p < q$  or  $q < p$ . (I.e., in every firing sequence containing both conditions, one condition must be consumed to generate the other.)

$p, q$  are **in conflict** if there are events  $t, u$  (where  $t \neq u$ ),  $t \in [p]$ ,  $u \in [q]$ , and  $\bullet t \cap \bullet u \neq \emptyset$ . (I.e.,  $p, q$  can *never* occur in a reachable marking!)

$p, q$  are called **concurrent** if they are neither causally dependent nor in conflict with one another (I.e.,  $p, q$  can occur together in some reachable marking!)

# Reachability in prefixes

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**Remark:** A set of conditions  $P'$  in  $\mathcal{Q}$  is coverable iff all pairs  $p, q \in P'$  are concurrent.

The concurrency relation  $C$  (where  $p C q$  iff  $p, q$  are concurrent) can be computed efficiently while generating the unfolding.

If  $P'$  is reachable (resp. coverable) in  $\mathcal{Q}$ , then so is  $B(P')$  in  $\mathcal{P}$ .

**Question:** Does the reverse hold?

# Properties of a complete prefix

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For finite-state systems  $\mathcal{T}$  we have:

A state  $Y$  is reachable in  $\mathcal{T}$  iff a  $Y$ -labelled node is reachable in any prefix of  $\mathcal{T}$  constructed according to our algorithm.

This holds **independently** of the order in which events are added to the prefix.

For Petri nets  $\mathcal{P}$  (and a prefix  $\mathcal{Q}$ ) we would like to have the following **completeness property**:

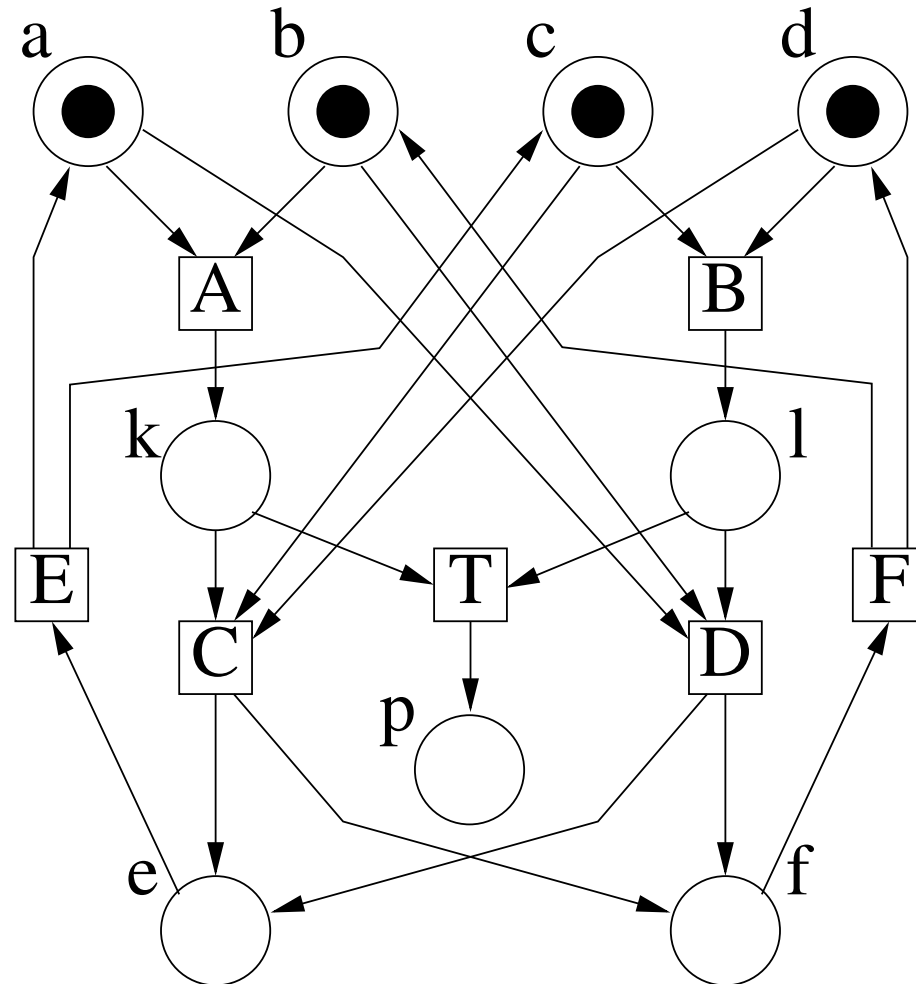
A marking  $M$  is reachable in  $\mathcal{P}$  iff a marking  $M'$  with  $B(M') = M$  is reachable in any prefix  $\mathcal{Q}$  constructed according to our algorithm.

Unfortunately, this does not hold for all prefixes. For Petri net unfoldings, whether  $\mathcal{Q}$  is complete, does depend on the order in which events are generated!

## Example 2

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Consider the following Petri net:



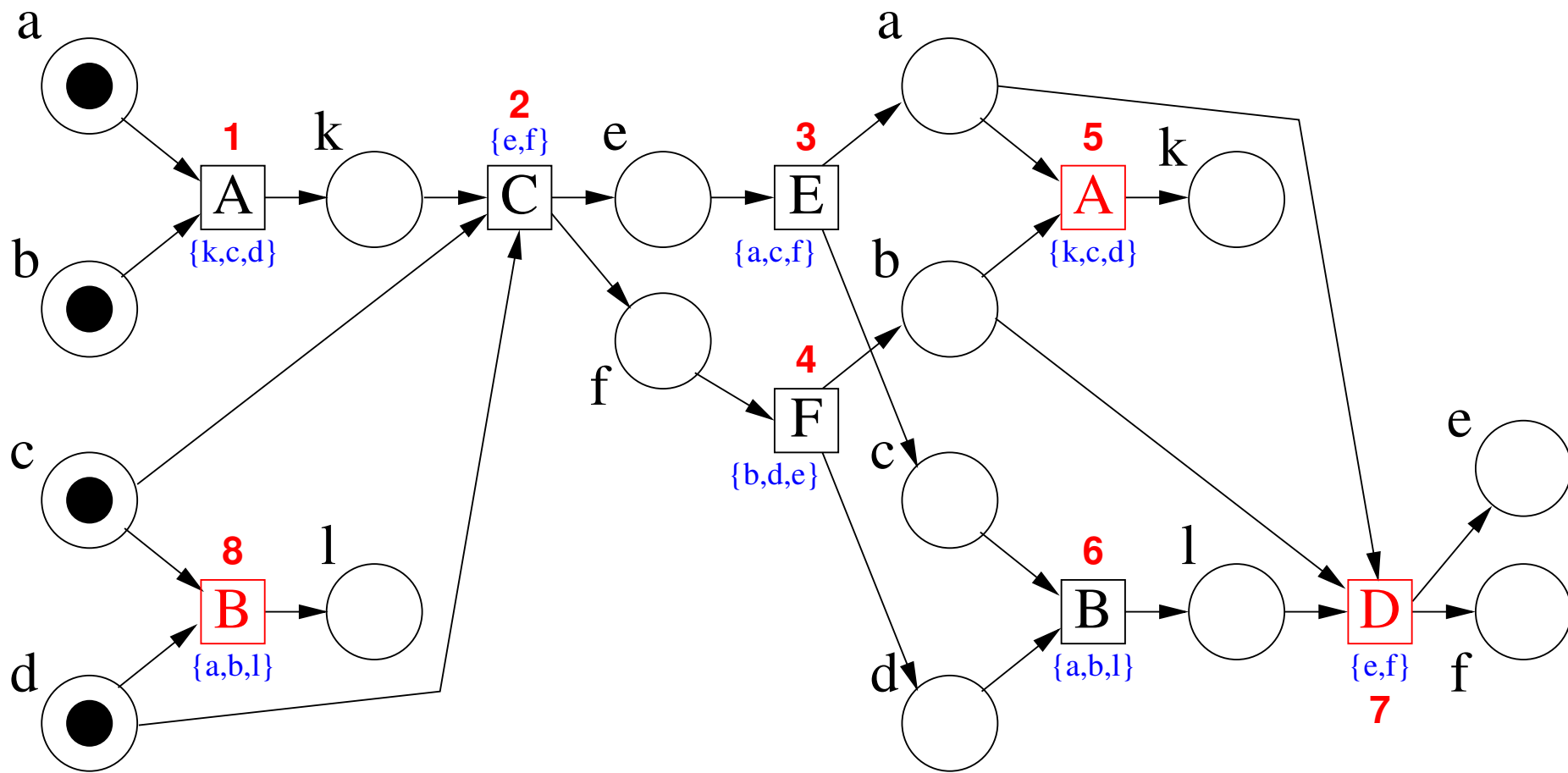
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In Example 2 the marking  $\{p\}$  is reachable, e.g. by firing  $ABT$ .

The net can also reach the marking  $\{e, f\}$  by firing either  $AC$  or  $BD$ , and then return by firing  $EF$  to the initial marking.

We shall see that a prefix generated according to depth-first order will “overlook” the transition  $T$ .

Depth-first order generated the prefix shown below (order and cutoffs indicated in red):





# Adequate orders

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Let  $\mathcal{Q}^*$  be the (usually infinite) unfolding of  $\mathcal{P}$  obtained from constructing the prefix without cutoff conditions.

Let  $\prec$  be a total order of the events that refines  $<$  (i.e.  $t < t'$  implies  $t \prec t'$ ).

**Intuition:**  $\prec$  is a possible order in which the events of  $\mathcal{Q}^*$  can be generated.

Let  $\mathcal{Q}_\prec$  be the (unique!) prefix of  $\mathcal{Q}^*$ , where the events are added in the order given by  $\prec$ .

We call  $\prec$  **adequate** iff  $\mathcal{Q}_\prec$  is complete.

# Configurations

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Let  $M$  be a reachable marking in  $\mathcal{Q}^*$ . Then we call  $C_M := \bigcup_{p \in M} [p]$  a **configuration**.

When given a configuration  $C$ , its maximal (w.r.t.  $<$ ) conditions constitute the corresponding reachable marking, denoted  $M_C$ .

Remark: For every event  $t$ , the set  $[t] \cup t^\bullet \cup M_0 =: C_t$  is a configuration. We have  $M_{C_t} = M_t$ .

We call  $E$  an **extension** of  $C$  iff  $C \cap E = \emptyset$  and  $C \cup E$  is a configuration. In this case, we write  $C \oplus E$  to denote the configuration  $C \cup E$ .

Let  $M, M'$  be two markings of  $\mathcal{Q}^*$  such that  $B(M) = B(M')$ . If  $E$  is an extension of  $C_M$ , then there is an extension  $E'$  of  $C_{M'}$  that is isomorphic to  $E$ .

# A sufficient condition for adequate orders

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The following condition guarantees that  $\prec$  is adequate:

Let  $t, t'$  be two events with  $t \prec t'$  and  $M_t = M_{t'}$ , and  $E$  an extension of  $C_t$  and  $E'$  the extension of  $C_{t'}$  isomorphic to  $E$ . Then  $u \prec u'$  must hold, where  $C_u = C_t \cup E$  and  $C_{u'} = C_{t'} \cup E'$ .

E.g., this is implied by taking a total order satisfying  $t \prec t'$  if  $|C_t| < |C_{t'}|$ .

**Proof:** Let  $\prec$  be an order satisfying the above constraint. We show that  $\mathcal{Q}_{\prec}$  is complete. So let  $M$  be a marking reachable in  $\mathcal{P}$ . Then there is a marking  $M'$  in  $\mathcal{Q}^*$  with  $B(M') = M$ . Either  $C_{M'}$  is contained in  $\mathcal{Q}_{\prec}$ , or  $C_{M'} = C_t \oplus E$  for some cutoff event  $t$ . But then there is another event  $t'$  with  $M_{t'} = M_t$  and  $t' \prec t$  and therefore a configuration  $C' := C_{t'} \oplus E'$ , where  $E'$  is isomorphic to  $E$ , and we have  $B(M_{C'}) = B(M') = M$ . Either  $C'$  is contained in  $\mathcal{Q}_{\prec}$ , or one repeats the argument, but only finitely often since  $\prec$  is well-founded.