

WHICH POLYNOMIALS ARE COMPUTED BY N-WEIGHTED AUTOMATA?

and friends made along the way

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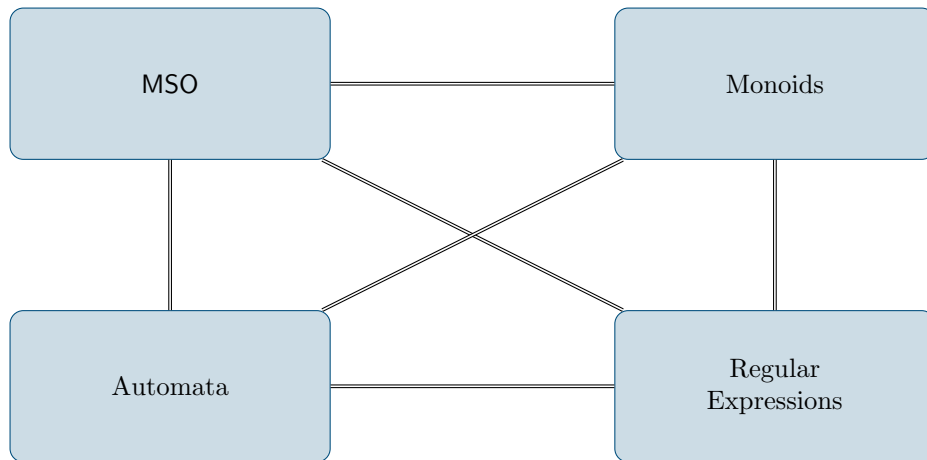
LIS, Marseille
2024-11-08



<https://www.irif.fr/~alopez/>

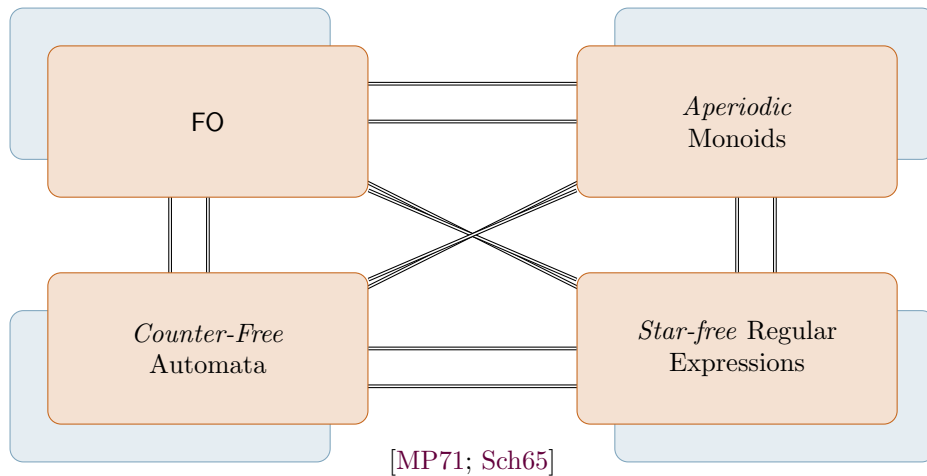
Automata Theory

Seminal results from [Büc60; Tra66;
Kle56; Elg61]



Automata Theory

Seminal results from [Büc60; Tra66;
Kle56; Elg61]



Beyond Automata

Extending these results...

$$\Sigma^* \rightarrow \mathbb{B}$$

Beyond Automata

Extending these results...

$$\Sigma^* \rightarrow \mathbb{B}$$

Quantitative

$$\Sigma^* \rightarrow (\mathbb{A}, +, \times)$$

Beyond Automata

Extending these results...

$$\Sigma^* \rightarrow \mathbb{B}$$

Quantitative

$$\Sigma^* \rightarrow (\mathbb{A}, +, \times)$$

Functional

$$\Sigma^* \rightarrow \Gamma^*$$

Beyond Automata

Extending these results...

$$\Sigma^* \rightarrow \mathbb{B}$$

Quantitative

$$\Sigma^* \rightarrow (\mathbb{A}, +, \times)$$

$$(\mathbb{N}, +, \times) \simeq \{1\}^*$$

Functional

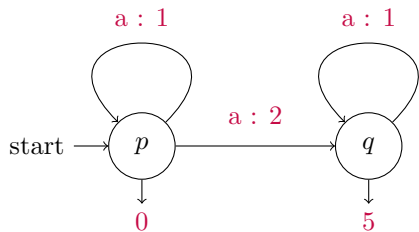
$$\Sigma^* \rightarrow \Gamma^*$$

Weighted Automata

as a quantitative model [Sch61]

N-weighted automaton

aaaaaa

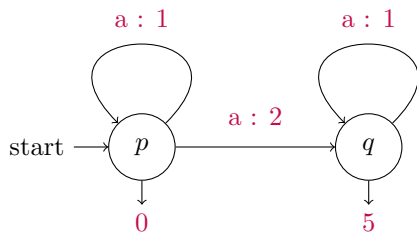


Weighted Automata

as a quantitative model [Sch61]

\mathbb{N} -weighted automaton

aaaaaa

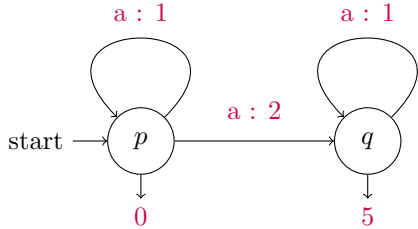


Value = 0

Weighted Automata

as a quantitative model [Sch61]

N-weighted automaton



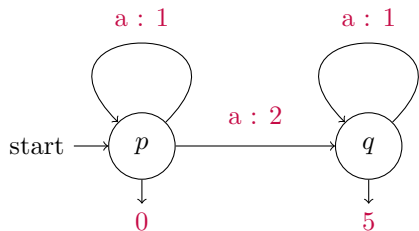
aaaaaa

$$p \xrightarrow{2} q \xrightarrow{1} q \xrightarrow{1} q \xrightarrow{1} q \xrightarrow{1} q \xrightarrow{1} q \longrightarrow 5$$

Value = 10

Weighted Automata

as a quantitative model [Sch61]



N-weighted automaton

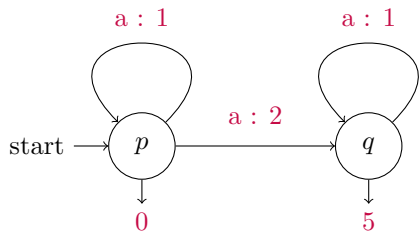
aaaaaa

$$\begin{array}{r}
 2 \times 1 \times 1 \times 1 \times 1 \times \\
 p \rightarrow q \rightarrow q \rightarrow q \rightarrow q \rightarrow q \longrightarrow 5 \\
 + \\
 1 \times 2 \times 1 \times 1 \times 1 \times \\
 p \rightarrow p \rightarrow q \rightarrow q \rightarrow q \rightarrow q \longrightarrow 5
 \end{array}$$

Value = 20

Weighted Automata

as a quantitative model [Sch61]



N-weighted automaton

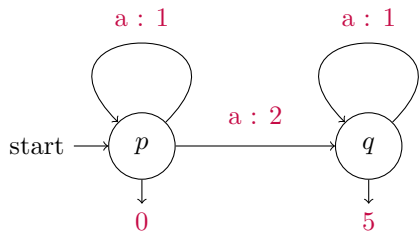
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 + \\
 1 \times 1 \times 2 \times 1 \times 1 \times \\
 p \rightarrow p \rightarrow p \rightarrow q \rightarrow q \rightarrow q \longrightarrow 5
 \end{array}$$

Value = 30

Weighted Automata

as a quantitative model [Sch61]



N-weighted automaton

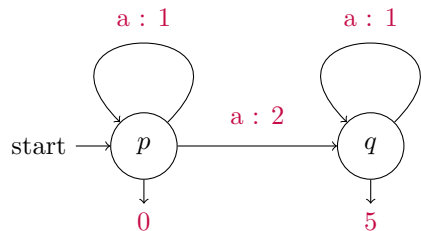
aaaaaa

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 + \\
 1 \times 1 \times 1 \times 2 \times 1 \times \\
 p \rightarrow p \rightarrow p \rightarrow p \rightarrow q \rightarrow q \longrightarrow 5
 \end{array}$$

Value = 40

Weighted Automata

as a quantitative model [Sch61]



N-weighted automaton

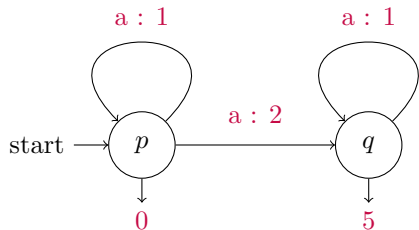
aaaaaa

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 + \\
 1 \times 1 \times 1 \times 2 \times 1 \times \\
 p \rightarrow p \rightarrow p \rightarrow p \rightarrow q \rightarrow q \longrightarrow 5 \\
 + \\
 1 \times 1 \times 1 \times 1 \times 2 \times \\
 p \rightarrow p \rightarrow p \rightarrow p \rightarrow p \rightarrow q \longrightarrow 5
 \end{array}$$

Value = 50

Weighted Automata

as a quantitative model [Sch61]



N-weighted automaton

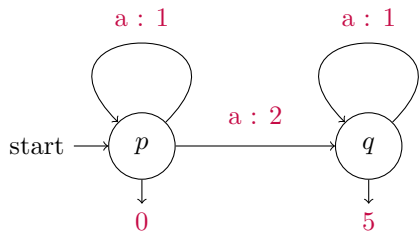
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 + \\
 1 \times 1 \times 1 \times 2 \times 1 \times \\
 p \rightarrow p \rightarrow p \rightarrow p \rightarrow q \rightarrow q \longrightarrow 5 \\
 + \\
 1 \times 1 \times 1 \times 1 \times 2 \times \\
 p \rightarrow p \rightarrow p \rightarrow p \rightarrow p \rightarrow q \longrightarrow 5 \\
 + \\
 1 \times 1 \times 1 \times 1 \times 1 \times \\
 p \rightarrow p \rightarrow p \rightarrow p \rightarrow p \rightarrow p \longrightarrow 0
 \end{array}$$

Value = 50

Weighted Automata

as a quantitative model [Sch61]



N-weighted automaton

aaaaaa

$$\begin{array}{r}
 2 \times 1 \times 1 \times 1 \times 1 \times \\
 p \rightarrow q \rightarrow q \rightarrow q \rightarrow q \rightarrow q \longrightarrow 5 \\
 + \\
 1 \times 2 \times 1 \times 1 \times 1 \times \\
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 p \rightarrow p \rightarrow p \rightarrow p \rightarrow p \rightarrow p \longrightarrow 0
 \end{array}$$

Value = 50

$$f(w) \mapsto 10(|w| - 1)$$

Polyregular Functions

One (among many) way to define functions [EM02; Boj18]

$$\Sigma^* \longrightarrow \Gamma^*$$

Polyregular Functions

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$$\Sigma^* \xrightarrow{\text{MSO}} \Gamma^*$$

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One (among many) way to define functions [EM02; Boj18]

$$\Sigma^* \xrightarrow{\text{MSO}} \Gamma^*$$
$$(\varphi_a)_{a \in \Gamma} \in \text{MSO}^\Gamma$$
$$\varphi_{\leq} \in \text{MSO}$$

Polyregular Functions

One (among many) way to define functions [EM02; Boj18]

$$\Sigma^* \xrightarrow{\text{MSO}} \Gamma^*$$

$$(\varphi_a)_{a \in \Gamma} \in \text{MSO}^\Gamma$$

$$\varphi_{\leq} \in \text{MSO}$$

One can also define FO (aperiodic) functions!

Open Problem: decide if a polyregular function is *aperiodic*.

Unary Polyregular Functions

An easier setting, and a subclass of weighted automata! [Sch62]

φ_{\leq} is unused

$\varphi_a(x_1, \dots, x_n) \simeq$ counting!

N-polyregular function

Unary Polyregular Functions

An easier setting, and a subclass of weighted automata! [Sch62]

φ_{\leq} is unused

$\varphi_a(x_1, \dots, x_n) \simeq$ counting!

$$w \mapsto |w|^3 + 5|w|$$

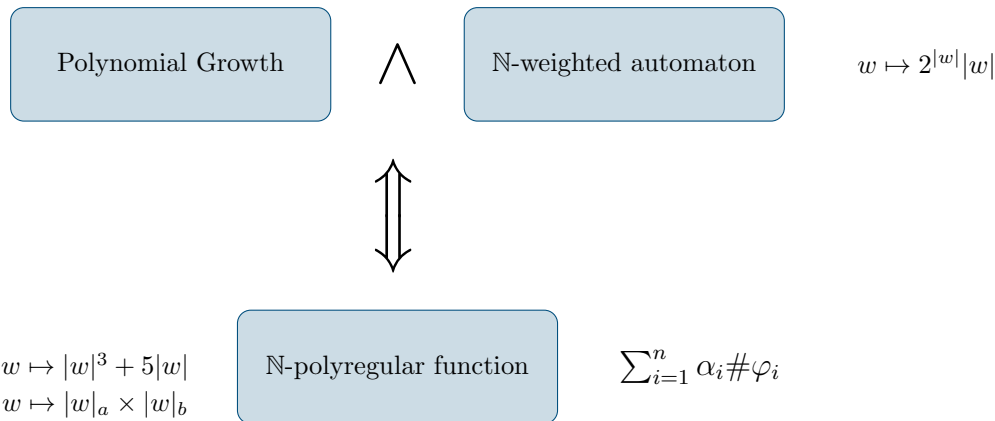
$$w \mapsto |w|_a \times |w|_b$$

N-polyregular function

$$\sum_{i=1}^n \alpha_i \# \varphi_i$$

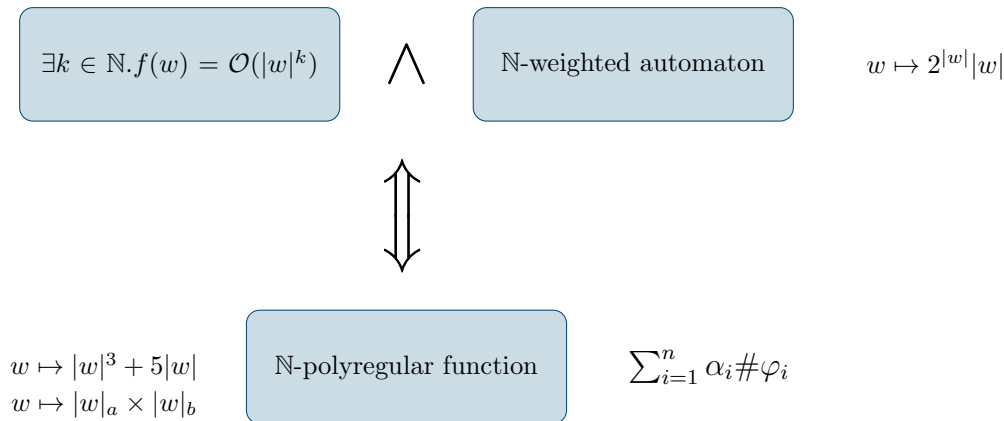
Unary Polyregular Functions

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Unary Polyregular Functions

An easier setting, and a subclass of weighted automata! [Sch62]



Now, what is the problem?



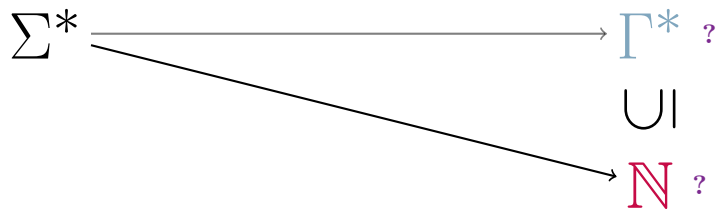
Deciding Aperiodicity is Hard

Even in the unary case!

$$\Sigma^* \longrightarrow \Gamma^* ?$$

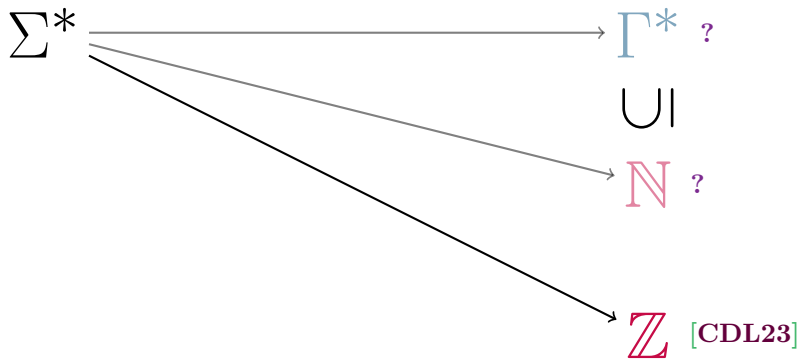
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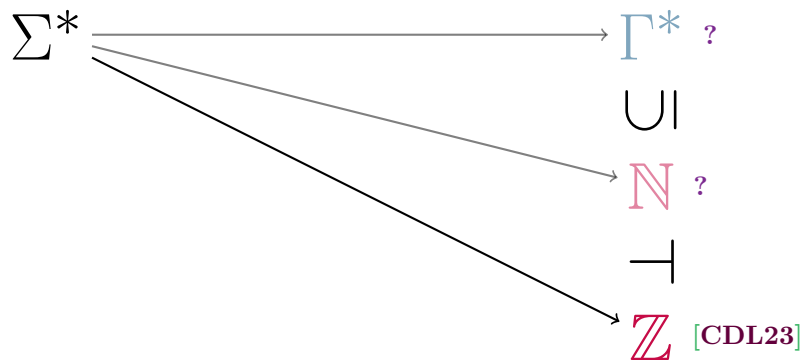
Deciding Aperiodicity is Hard

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Deciding Aperiodicity is Hard

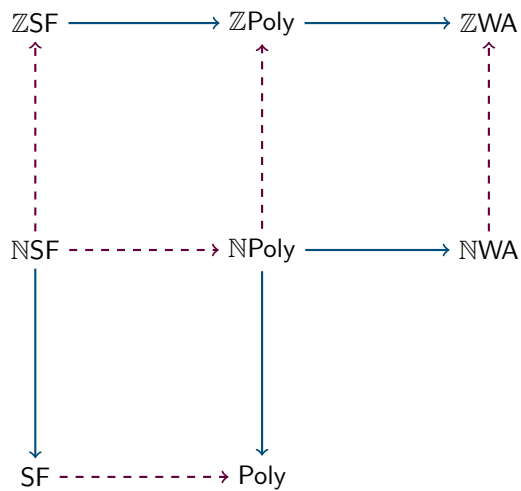
Even in the unary case!



\mathbb{Z} -weighted automata

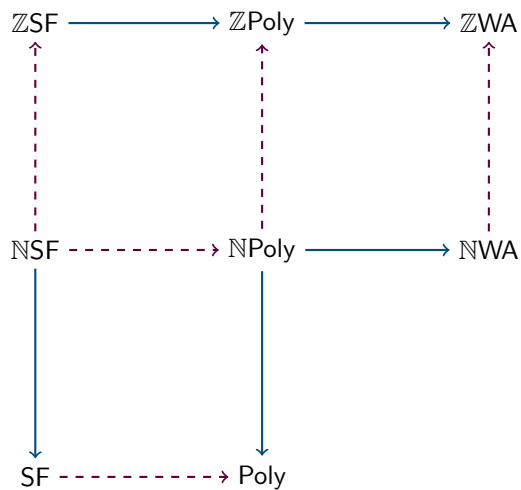
All protagonists

With open problems from [Dou23;
Kar77]



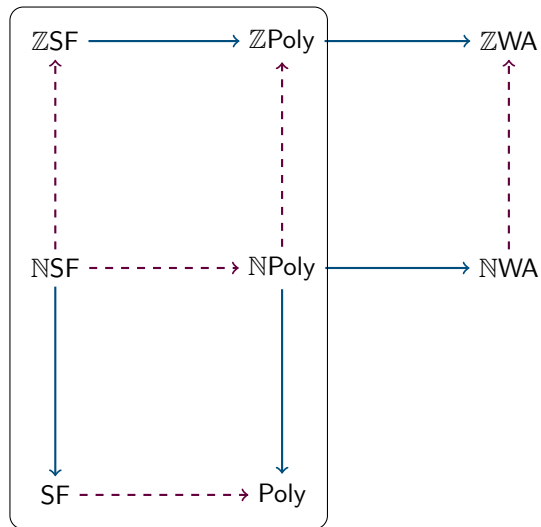
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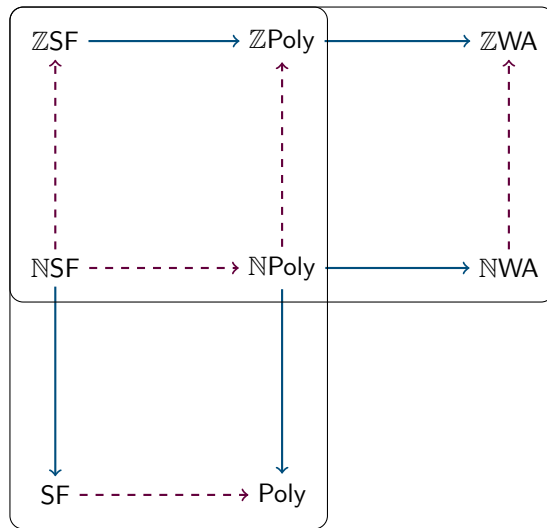
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Polyregular World

All protagonists

With open problems from [Dou23;
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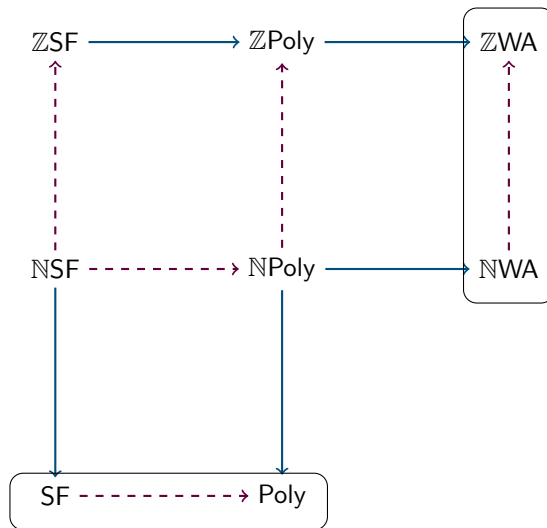


Polyregular World

Weighted Automata World

All protagonists

With open problems from [Dou23;
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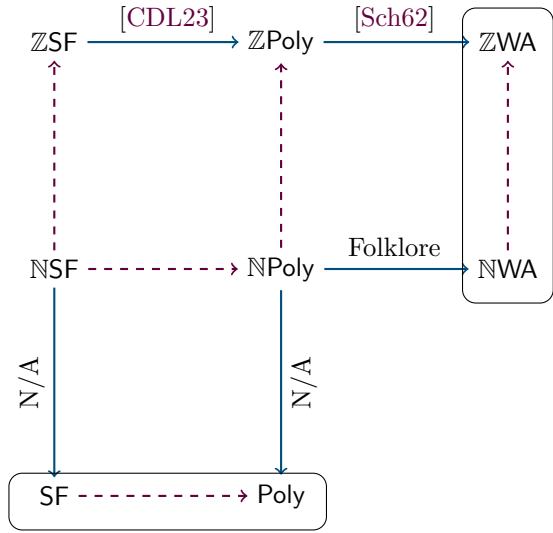


Open Problem 2

Open Problem 1

All protagonists

With open problems from [Dou23; Kar77]



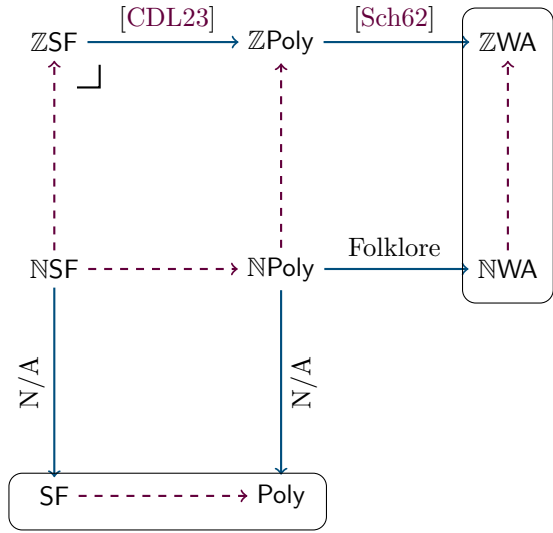
Open Problem 1

Open Problem 2

All protagonists

With open problems from [Dou23; Kar77]

$$\mathbb{ZSF} \cap \mathbb{NPoly} = \mathbb{ZSF}$$



Open Problem 1

Open Problem 2

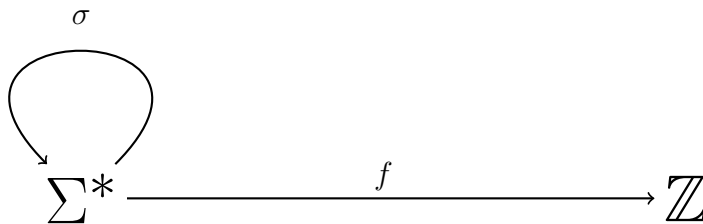
Simplify further:
commutative input



Commutative Input?

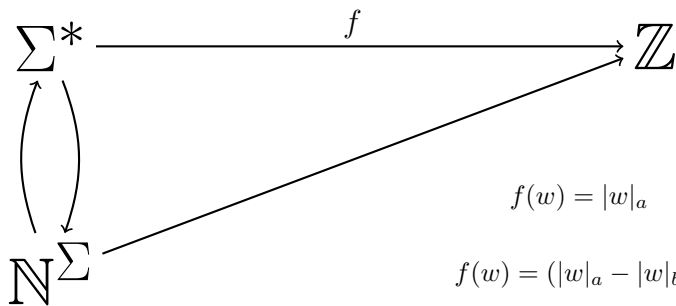
$$\Sigma^* \xrightarrow{f} \mathbb{Z}$$

Commutative Input?



$$f(abab) = f(aabb)$$

Commutative Input?



$$f(w) = |w|_a$$

$$f(w) = (|w|_a - |w|_b)^2$$

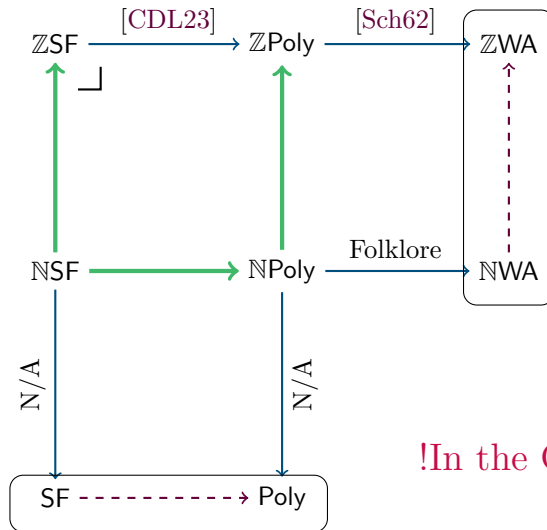
$$f(w) = |w|_a^2 - 2|w|_a|w|_b + |w|_b^2$$

In particular: polynomials in $\mathbb{N}[\vec{X}]$ and $\mathbb{Z}[\vec{X}]$

All protagonists

With open problems from [Dou23; Kar77]

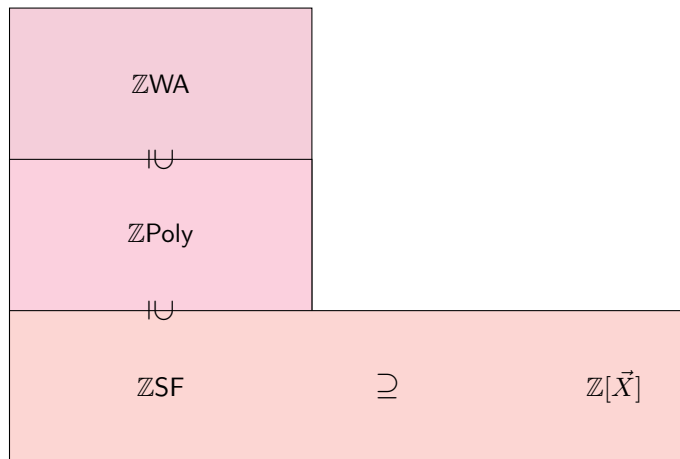
$$\mathbb{ZSF} \cap \mathbb{NPoly} = \mathbb{ZSF}$$



!In the Commutative Setting!

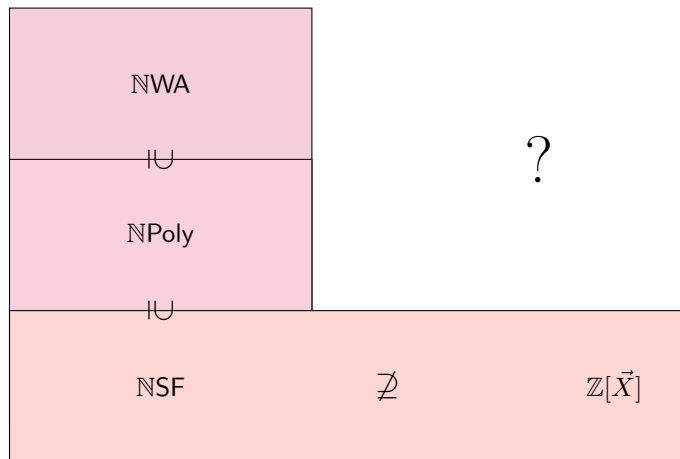
An easy case

\mathbb{Z} -polynomials are all in $\mathbb{Z}WA$... and more!



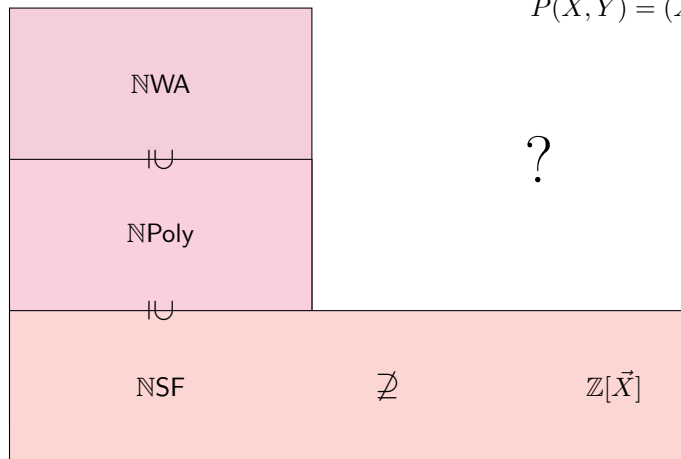
An easy case

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An easy case

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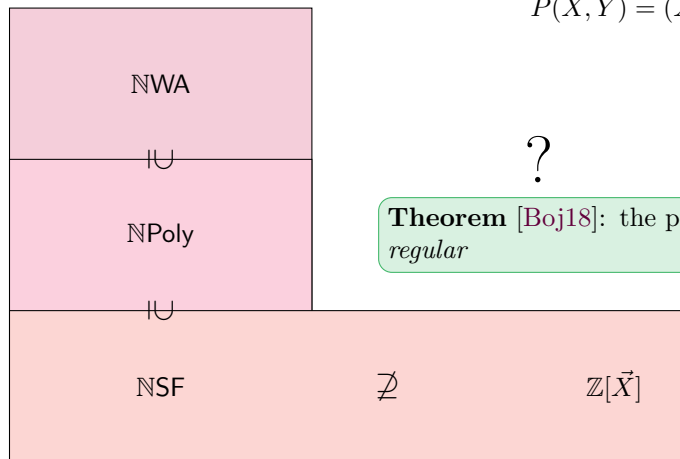
$$Q(X, Y) = X^2 - 2X + 1$$

$$P(X, Y) = (X - Y)^2$$

?

An easy case

\mathbb{Z} -polynomials are all in $\mathbb{Z}WA$... and more!



$$Q(X, Y) = X^2 - 2X + 1$$

$$P(X, Y) = (X - Y)^2$$

Theorem [Boj18]: the pre-image of a finite set is *regular*

$$P^{-1}(\{0\}) = \{w \mid |w|_a = |w|_b\}$$

A previous statement

of Karhumäki [Kar77].

$$P = P_{\max} + P_{\text{rest}}$$

$$P_{\max} \in \mathbb{N} \quad \forall \vec{x} \in \mathbb{N}^k, P(\vec{x}) \geq 0$$



$$P \in \mathbb{NWA}$$

A previous statement

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Idea: $P(X_1 + K, \dots, X_n + K) \in \mathbb{N}[\vec{X}]$ for some large enough K and *hardcode* small values.



$$P \in \text{NWA}$$

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Idea: $P(X_1 + K, \dots, X_n + K) \in \mathbb{N}[\vec{X}]$ for some large enough K and *hardcode* small values.



Counterexample: $P = Z(X + Y)^2 + 2(X - Y)^2$.

$$P \in \text{NWA}$$

Corrected Theorem

Theorem: For all $P \in \mathbb{Z}[\vec{X}]$, there exists a computable $K \in \mathbb{N}$ such that $P \in \text{NWA}$

$\iff P \in \text{NPoly}$

$\iff P \in \text{NSF}$

\iff every partial evaluation of P has positive maximal coefficients

\iff every partial evaluation up to K of P has positive maximal coefficients

\iff every partial evaluation of P translated by K belongs to $\mathbb{N}[\vec{X}]$

\iff every partial evaluation *up to* K of P translated by K belongs to $\mathbb{N}[\vec{X}]$

Corrected Theorem

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\iff every partial evaluation *up to* K of P translated by K belongs to $\mathbb{N}[\vec{X}]$

Remark: with at most two indeterminate, it is the same as [Kar77].

A short break for our sponsors:
polynomial pumping arguments



Polynomial Pumping

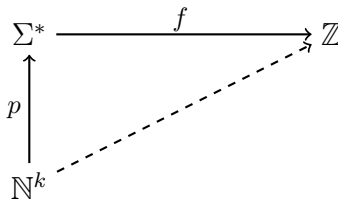
Also known as: forcing commutativity

$$\Sigma^* \xrightarrow{f} \mathbb{Z}$$

Polynomial Pumping

Also known as: forcing commutativity

$$p(x_1, \dots, x_k) = v_0 \prod_{i=1}^n (u_i)^{x_i} v_i$$



Binomial Coefficients

as polynomials

$$\mathbf{Binomial:} \quad \binom{X-p}{k} = \frac{1}{k!} (X-p)(X-p-1)\cdots(X-p-k+1)$$

Binomial Coefficients

as polynomials

$$\mathbf{Binomial:} \binom{X-p}{k} = \frac{1}{k!} (X-p)(X-p-1)\cdots(X-p-k+1)$$

Natural Binomial Polynomials: positive linear combinations of products of binomials

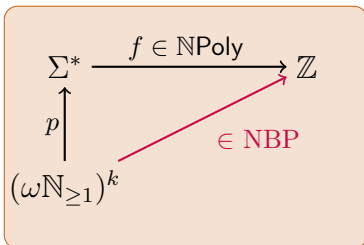
Binomial Coefficients

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Natural Binomial Polynomials: positive linear combinations of products of binomials

Theorem: $\forall f, \forall p, \exists \omega \in \mathbb{N}, \exists K \in \mathbb{N}$ such that



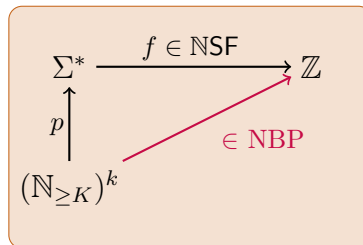
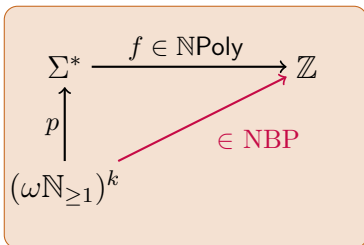
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Natural Binomial Polynomials: positive linear combinations of products of binomials

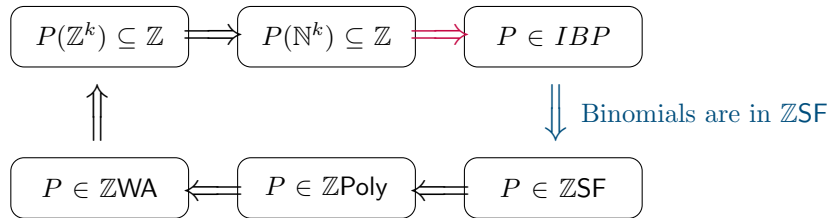
Theorem: $\forall f, \forall p, \exists \omega \in \mathbb{N}, \exists K \in \mathbb{N}$ such that



Back to \mathbb{Z}

Let $P \in \mathbb{Q}[\vec{X}]$

Classical result from Pólya [Pól15]

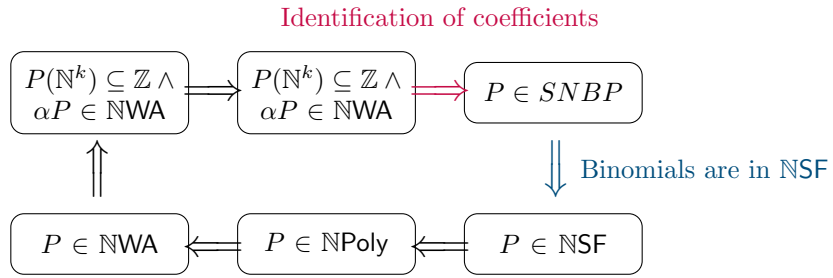


Integer Binomial Polynomials: integer linear combinations of products of binomials.

$$\binom{|w|}{\ell} = \# \left(\bigwedge_{1 \leq i \neq j \leq \ell} x_i \neq x_j \right) (w)$$

And now for \mathbb{N}

Let $P \in \mathbb{Q}[\vec{X}]$

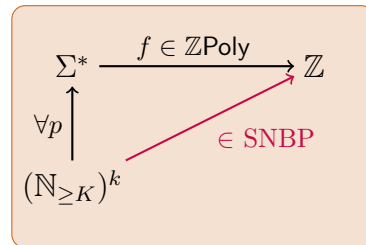
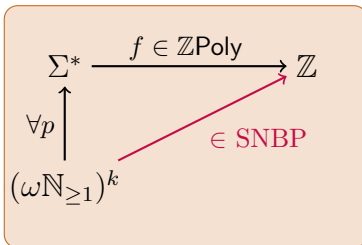


Strongly Natural Binomial Polynomials: Natural Binomial Polynomials, stable under partial evaluations

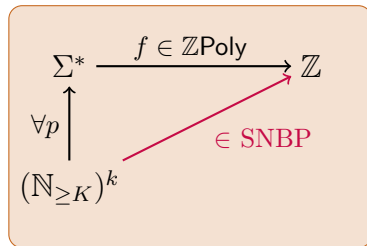
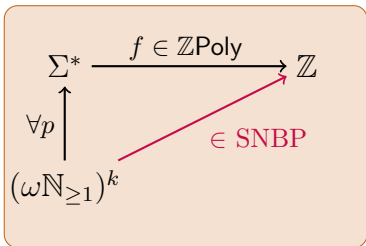
Tying the knot: commutative input



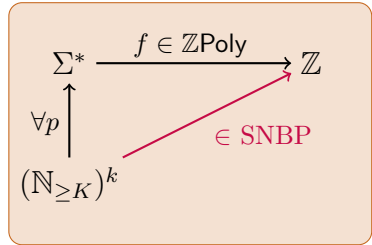
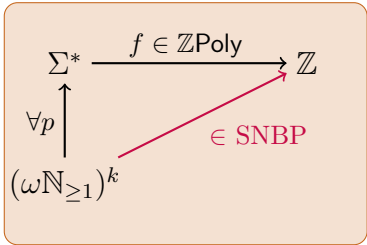
Commutative Input



Commutative Input


 $\exists \omega \updownarrow$
 $f \in \mathbb{N}\text{Poly}$
 $\exists K \updownarrow$
 $f \in \mathbb{N}\text{SF}$

Commutative Input



$\exists \omega \updownarrow$

$f \in \mathbb{N}\text{Poly}$

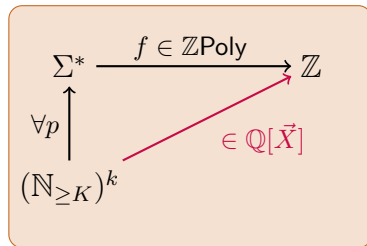
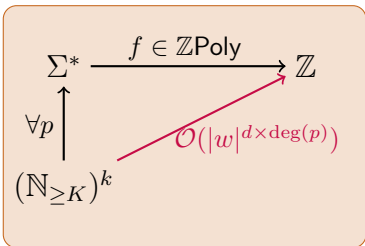
$\exists K \updownarrow$

$f \in \mathbb{N}\text{SF}$

Conjecture: true without commutative assumption

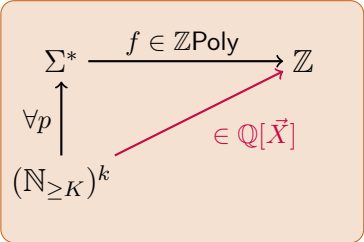
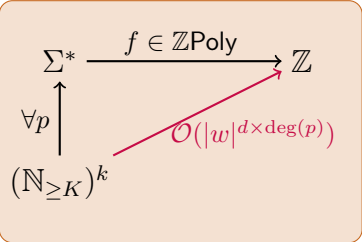
Previously, in \mathbb{Z} -
polyregular functions

Theorems from [CDL23]



Previously, in \mathbb{Z} -polyregular functions

Theorems from [CDL23]



$\exists K \Updownarrow$

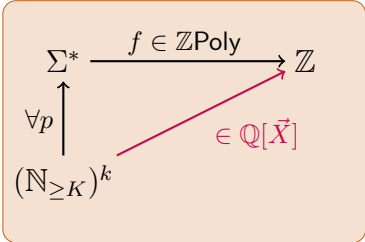
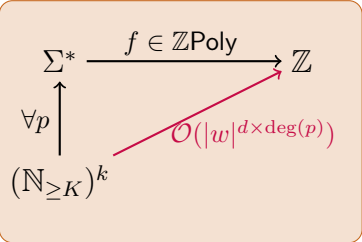
$|f(w)| = \mathcal{O}(|w|^d)$

$\Updownarrow \exists K$

$f \in \mathbb{Z}SF$

Previously, in \mathbb{Z} -polyregular functions

Theorems from [CDL23]



$\exists K \Updownarrow$

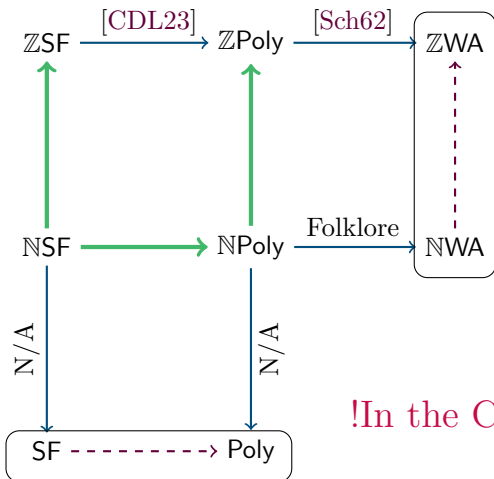
$$|f(w)| = \mathcal{O}(|w|^d)$$

$\Updownarrow \exists K$

$$f \in \mathbb{Z}\text{SF}$$

Consequence: $\text{NSF} = \mathbb{N}\text{Poly} \cap \mathbb{Z}\text{SF}$ in the commutative setting!

Conclusion



Open Problem 1

Open Problem 2

Towards Series: define *aperiodic* ZWA and NWA (but not like [DG05; DG19]).

Spectrum in $\mathbb{R}_{\geq 0}$, aperiodic finite quotients, pumping arguments

Dropping Commutation: attempted a *WQO* approach building a canonical model [Lop24].

Using aperiodic approximations?

!In the Commutative Setting!

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