

Dependency Pairs in Dependent Type Theory with Rewriting

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Joint work with Frédéric Blanqui and Olivier Hermant

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école —————
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paris-saclay —————

Inria



- 1 Context: Dedukti
- 2 Termination Criterion
- 3 SizeChangeTool

Dedukti is a type-checker for the $\lambda\Pi$ -calculus modulo rewriting.

Example of dependent type

```
def F : Nat -> TYPE
[] F 0      --> Nat
[n] F (s n) --> Nat -> F n
```

$F\ n = \text{Nat} \rightarrow \text{Nat} \rightarrow \dots \rightarrow \text{Nat}$ with n arrows.

Example of rewriting rules

```
def sum : (n: Nat) -> F n
[] sum 0      --> 0
[] sum (s 0)  -->  $\lambda x, x$ 
[n] sum (s (s n)) -->  $\lambda x\ y, \text{sum } (s\ n)\ (\text{plus } x\ y)$ 
```

Example : $\text{sum } 5\ 1\ 2\ 3\ 4\ 5 \longrightarrow^* 1+2+3+4+5 \longrightarrow^* 15$

Abstraction:

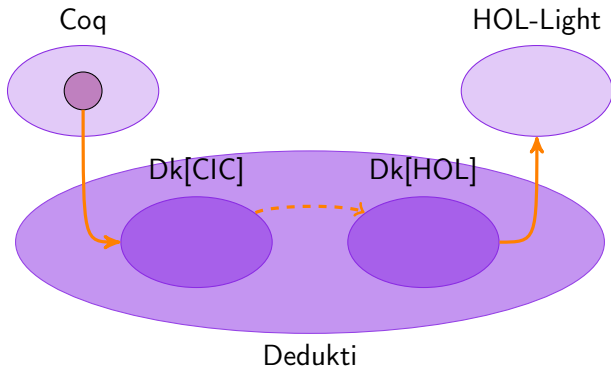
$$\frac{\Gamma \vdash A : \text{Type} \quad \Gamma, x : A \vdash B : s \quad \Gamma, x : A \vdash t : B}{\Gamma \vdash \lambda(x : A).t : \Pi(x : A).B}$$

Application:

$$\frac{\Gamma \vdash t : \Pi(x : A).B \quad \Gamma \vdash u : A}{\Gamma \vdash tu : B[x \mapsto u]}$$

Conversion:

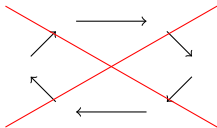
$$\frac{\Gamma \vdash t : A \quad \Gamma \vdash B : s \quad A \leftrightarrow_{\beta\mathcal{R}} B}{\Gamma \vdash t : B}$$



- overlapping: $x + 0 \rightarrow x, 0 + x \rightarrow x$
- non-linearity: $x - x \rightarrow 0$
- defined symbols: $(x + y) + z \rightarrow x + (y + z)$
- higher-order: $\text{lam}(\lambda x. \text{app } F x) \rightarrow F$
- there can be rules both at the object and type levels

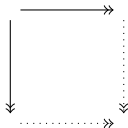
Expected Properties of Rewriting

- Termination: There is no infinite sequence of reduction starting from a well-typed term;



- Typing preservation (*Subject reduction*): If a term is well-typed, its reducts have the same type;

- Confluence: Two reducts of a term have a common reduct.



- The set of terms $\lambda\Pi/\mathcal{R}$ depends on rewriting rules \mathcal{R} ;
- Higher-order rules cannot be dealt with independently of β -reduction;
- Type-level rewriting forbids the use of erasing tricks reducing termination to simply-typed λ -calculus;
- Type-level rewriting allows to encode any functional Pure Type System (e.g. System F or the Calculus of Constructions).

- 1 Context: Dedukti
- 2 Termination Criterion
 - Logical Relations
 - Dependency Pairs
 - Well-Structuring
 - Main Theorem
- 3 SizeChangeTool

If $\Gamma \vdash t : T$, then $t \in \text{SN}(\rightarrow_{\beta\mathcal{R}})$.

Find a **criterion** such that:

If $\Gamma \vdash t : T$, then $t \in \text{SN}(\rightarrow_{\beta\mathcal{R}})$.

Goal

Define $\llbracket T \rrbracket$ such :

- $\Gamma \vdash t : T$ implies $t \in \llbracket T \rrbracket$,
- $t \in \llbracket T \rrbracket$ implies $t \in \text{SN}(\rightarrow_{\beta\mathcal{R}})$.

Reducibility Conditions

- $\llbracket T \rrbracket \subseteq \text{SN}$,
- If $t \in \llbracket T \rrbracket$ and $t \rightarrow_{\beta\mathcal{R}} u$, then $u \in \llbracket T \rrbracket$,
- If t is neutral and $\{u \mid t \rightarrow_{\beta\mathcal{R}} u\} \subseteq \llbracket T \rrbracket$, then $t \in \llbracket T \rrbracket$.

- For β -reduction, we set

$$\llbracket \Pi(x : A).B \rrbracket = \{ t \mid \forall a \in \llbracket A \rrbracket, t a \in \llbracket B [x \mapsto a] \rrbracket \}$$

- For conversion rule, if $T \leftrightarrow_{\beta\mathcal{R}} U$, then $\llbracket T \rrbracket = \llbracket U \rrbracket$.



We define $\llbracket \cdot \rrbracket$ as the fixpoint of a monotonic function.

Lemma (Adequacy)

If for all f , $f \in \llbracket \Theta_f \rrbracket$ and $\Gamma \vdash t : T$, then $t \in \llbracket T \rrbracket$.

Goal

Define $\llbracket T \rrbracket$ such that:

- $\Gamma \vdash t : T$ implies $t \in \llbracket T \rrbracket$, 
- $t \in \llbracket T \rrbracket$ implies $t \in \text{SN}(\rightarrow_{\beta\mathcal{R}})$. 

Definition (Dependency Pairs)

A rule $f \bar{t} \rightarrow r$ gives rise to the *dependency pairs* $f \bar{t} > g \bar{m}$ where:

- g is (partially) defined by rewriting,
- $g \bar{m}$ is a maximally applied subterm of r .

Theorem (Arts and Giesl, 2000)

First order:

$\rightarrow_{\mathcal{R}}$ terminates iff there is no $f \bar{t} > g \bar{u} \rightarrow_{arg}^* g \bar{u}' > \dots$

Higher-Order

Static and dynamic definition: [Blanqui06][Kusakari, Sakai 07][Kop, van Raamsdonk 12][Kop, Fuhs 19]

Example

```
def plus : Nat -> Nat -> Nat.
```

```
set infix "+" := plus.
```

```
[q] 0 + q --> q.
```

```
[p,q] (S p) + q --> S (p + q). (1)
```

```
[p,q] p + (S q) --> S (p + q). (2)
```

```
def append: (p: Nat) -> List p ->
```

```
(q: Nat) -> List q -> List (p + q).
```

```
[q,m] append _ nil q m --> m.
```

```
[x,p,l,q,m] append _ (cons x p l) q m -->  
cons x (p + q) (append p l q m). (3)
```

(1) $(S p) + q > p + q$

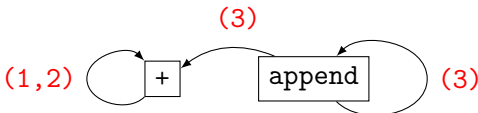
(2) $p + (S q) > p + q$

(3) $\text{append } _ \text{ (cons } x \text{ p l) } q \text{ m} > \text{append } p \text{ l } q \text{ m}$

(3) $\text{append } _ \text{ (cons } x \text{ p l) } q \text{ m} > p + q$

Call-Graph: Example

```
def plus : Nat -> Nat -> Nat.  
set infix "+" := plus.  
[q]      0      + q      --> q.  
[p,q]   (S p) + q      --> S (p + q). (1)  
[p,q]   p      + (S q) --> S (p + q). (2)  
  
def append: (p: Nat) -> List p ->  
            (q: Nat) -> List q -> List (p + q).  
[q,m]    append _ nil      q m --> m.  
[x,p,l,q,m] append _ (cons x p l) q m -->  
            cons x (p + q) (append p l q m). (3)
```



\succeq quasi-order on the signature compatible with rewriting and typing.

Definition (Well-Structured System)

\mathcal{R} is *well-structured* if for all rule $(\Delta, f \bar{l} \rightarrow r)$, with $\Theta_f = \Pi(\bar{x} : \bar{T}).U$, we have $\Delta \vdash_{\succeq_f} r : U[\bar{x} \mapsto \bar{l}]$.

Definition (Plain Function Passing)

$f \bar{l} \rightarrow r$ is *FPF* if every functional type variable occurring in r is equal to one of the l_i .

Reminder

If for all f , $f \in \llbracket \Theta_f \rrbracket$ and $\Gamma \vdash t : T$, then $t \in \llbracket T \rrbracket$.

Lemma

Every $f \in \llbracket \Theta_f \rrbracket$, if:

- \mathcal{R} is well-structured,
- \mathcal{R} is PFP,
- $(> \rightarrow_{arg}^*)$ is well-founded.

Theorem

$\rightarrow_{\beta\mathcal{R}}$ *terminates on every typable term in $\lambda\Pi/\mathcal{R}$ if:*

- $\rightarrow_{\beta\mathcal{R}}$ *is locally confluent and type preserving,*
- \mathcal{R} *is well-structured and Plain Function Passing,*
- $(>\rightarrow_{arg}^*)$ *is well-founded.*

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- 3 SizeChangeTool
 - Size-Change Termination
 - Implementation

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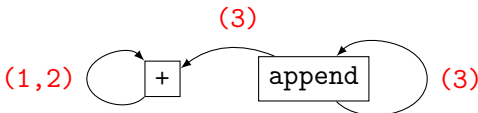
```
[p,q] p + (S q) --> S (p + q). (2)
```

```
def append: (p: Nat) -> List p ->
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(q: Nat) -> List q -> List (p + q).
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[q,m] append _ nil q m --> m.
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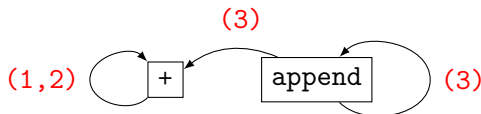


Size-Change Termination : Example

Introduced in [Lee, Jones, Ben Amram, 02] and used for MLTT in [Wahlstedt07].

Keeping track of the evolution of the sizes of the arguments:

$(1) \text{ plus } (S \ p) \ q > \text{ plus } p \ q$	$S \ p \ q \begin{pmatrix} p & q \\ < & \infty \\ \infty & = \end{pmatrix}$
$(3) \text{ append } _ \ (\text{cons } x \ p \ l) \ q \ m > \text{ plus } p \ q$	$\text{cons } x \ p \ l \ q \ m \begin{pmatrix} p & q \\ \infty & \infty \\ < & \infty \\ \infty & = \\ \infty & \infty \end{pmatrix}$

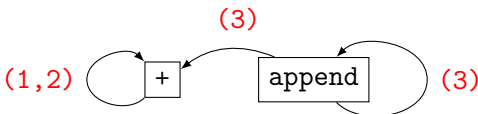


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$(1) \text{ plus } (S \ p) \ q > \text{ plus } p \ q$	$S \begin{matrix} p & q \\ & \begin{pmatrix} < & \infty \\ \infty & = \end{pmatrix} \end{matrix}$
$(3) \text{ append } _ \ (\text{cons } x \ p \ l) \ q \ m > \text{ plus } p \ q$	$\text{cons } x \ \begin{matrix} \bar{p} & \bar{l} \\ & \begin{pmatrix} p & q \\ \infty & \infty \\ < & \infty \\ \infty & = \\ \infty & \infty \end{pmatrix} \end{matrix}$

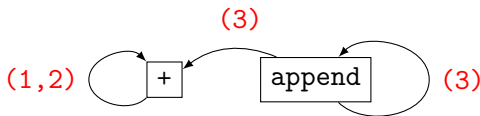


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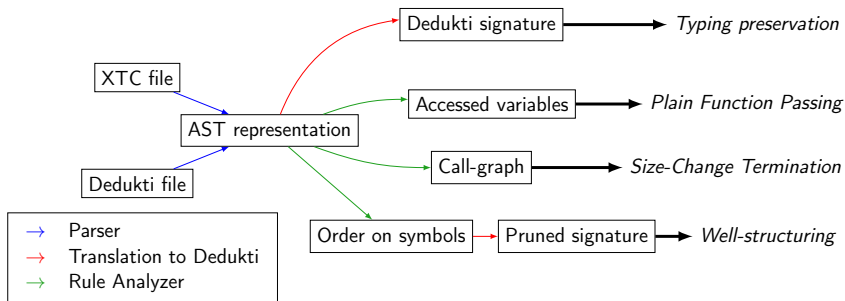
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Theorem

$\rightarrow_{\beta\mathcal{R}}$ terminates on every typable term in $\lambda\Pi/\mathcal{R}$ if:

- $\rightarrow_{\beta\mathcal{R}}$ is locally confluent and type preserving,
- \mathcal{R} is well-structured and Plain Function Passing,
- \mathcal{R} is Size-Change Terminating.



Simply-typed

- Annual competition, few participants (Wanda, SOL?),
- Prove less examples, much faster.

Orthogonal Rules

- Integrated in proof assistants,
- Very similar to Agda's,
- Easily deals with argument permutation, unlike Coq's.

Plain Function Passingness

Weaken this hypothesis to “positivity”, requires to use structural ordering rather than subterm.

Example : Brouwer ordinals ($\text{lim} : (\text{Nat} \rightarrow \text{Ord}) \rightarrow \text{Ord}.$)

Dependency Pairs

- Adapt more “processors”,
- Recover completeness.

Tool improvement

- Modularity results:
 - with simple types (like [Harper, Honsell, Plotkin 93]),
 - with first-order (like [Jouannad, Okada97] and [Fuhs, Kop11]),
- Non-termination,
- Other input format (Agda).

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