# On finite-memory determinacy of games on graphs

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Based on joint work with Stéphane Le Roux, Youssouf Oualhadj, Mickael Randour, Pierre Vandenhove (Published at CONCUR'20)

#### Strategy synthesis for two-player games

• Find good and simple controllers for systems interacting with an antagonistic environment

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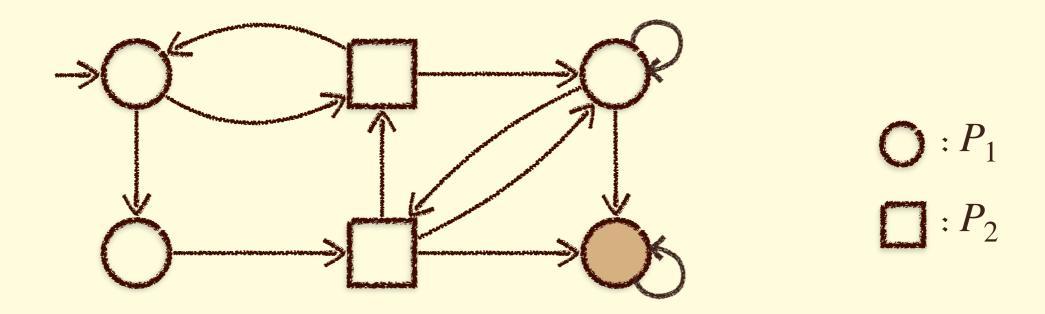
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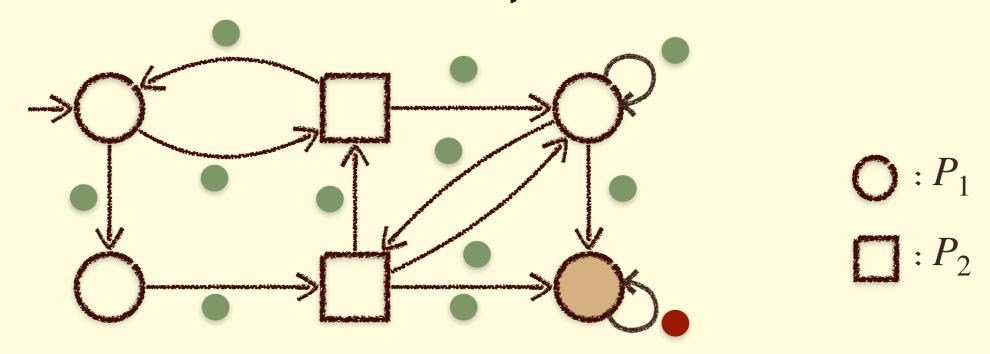
#### « Simple »?

- Memoryless strategies
  - Finite-memory strategies

When are simple strategies sufficient to play optimally?

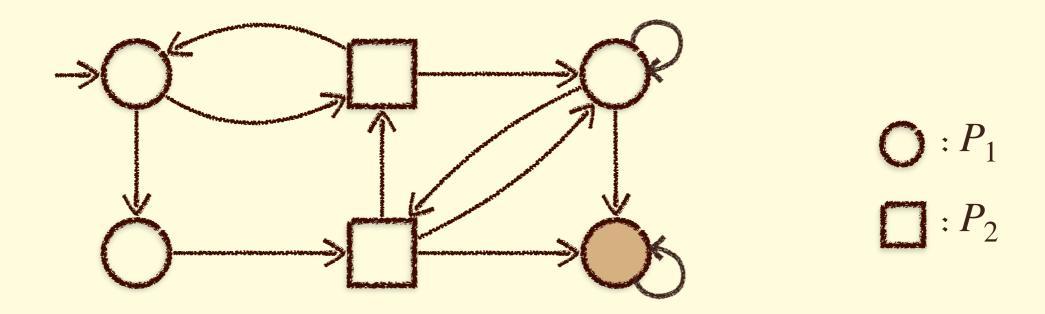


Reachability winning condition for  $P_1$ 

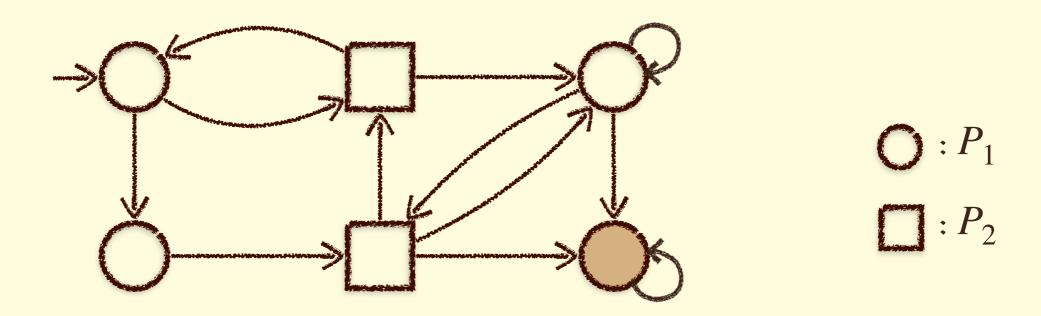


Reachability winning condition for  $P_1$ 

Use of colors to define winning condition/preference relation  $^*$  • ( • + • ) $^\omega$ 



Reachability winning condition for  $P_1$ 



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The game is played using strategies:

$$\sigma_i: S^*S_i \to E$$

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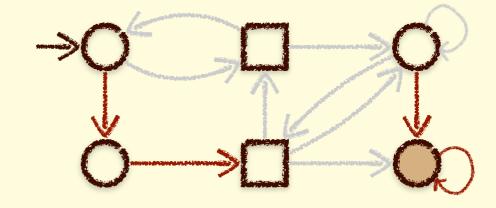
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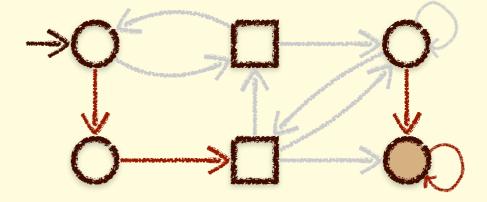


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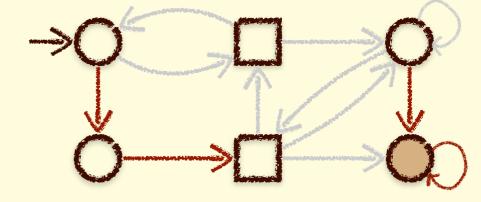
« Visit both  $s_1$  and  $s_2$  »

Every odd visit to  $s_0$ , go to  $s_1$ Every even visit to  $s_0$ , go to  $s_2$ 

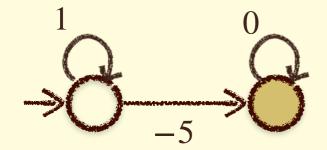
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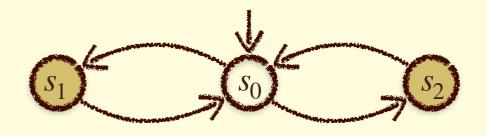
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### The setting - Preference relation

A preference relation  $\sqsubseteq$  is a total preorder on  $C^{\omega}$ .

 $\pi\sqsubseteq\pi'$  and  $\pi'\sqsubseteq\pi$  means that  $\pi$  and  $\pi'$  are equally appreciated  $\pi\sqsubseteq\pi'$  and  $\pi'\not\sqsubseteq\pi$  means that  $\pi'$  is preferred over  $\pi$ 

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#### Examples

- $W \subseteq C^{\omega}$  winning condition:  $\pi \sqsubseteq \pi'$  if either  $\pi' \in W$  or  $\pi \not\in W$
- Quantitative real payoff f $\pi \sqsubseteq \pi'$  if  $f(\pi) \le f(\pi')$

Ex: MP, AE, TP

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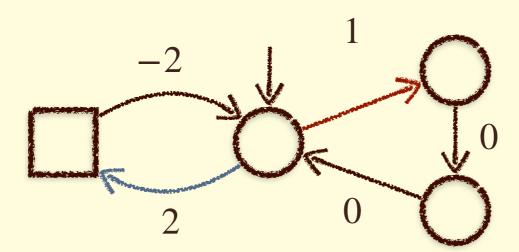
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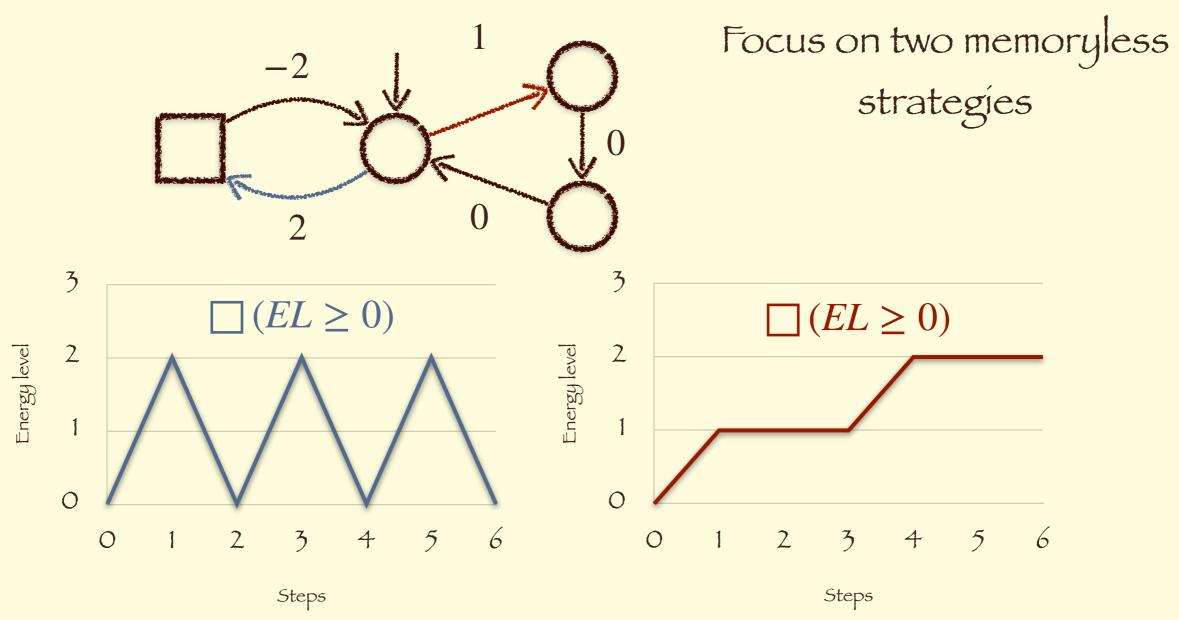
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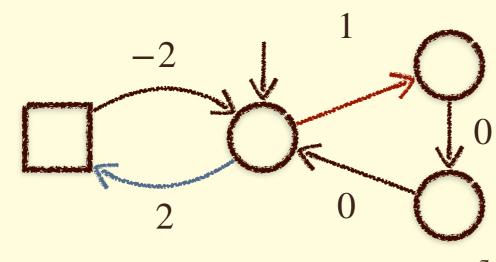
Zero-sum assumption:

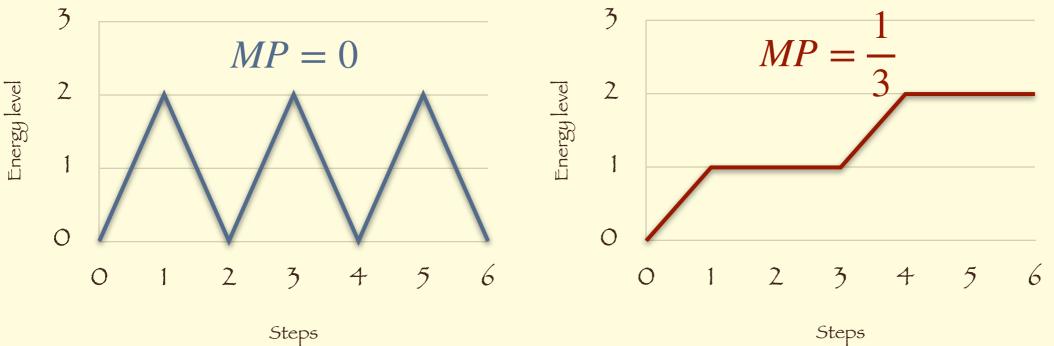
- Preference of  $P_1$  is  $\sqsubseteq$
- Preference of  $P_2$  is  $\sqsubseteq^{-1}$



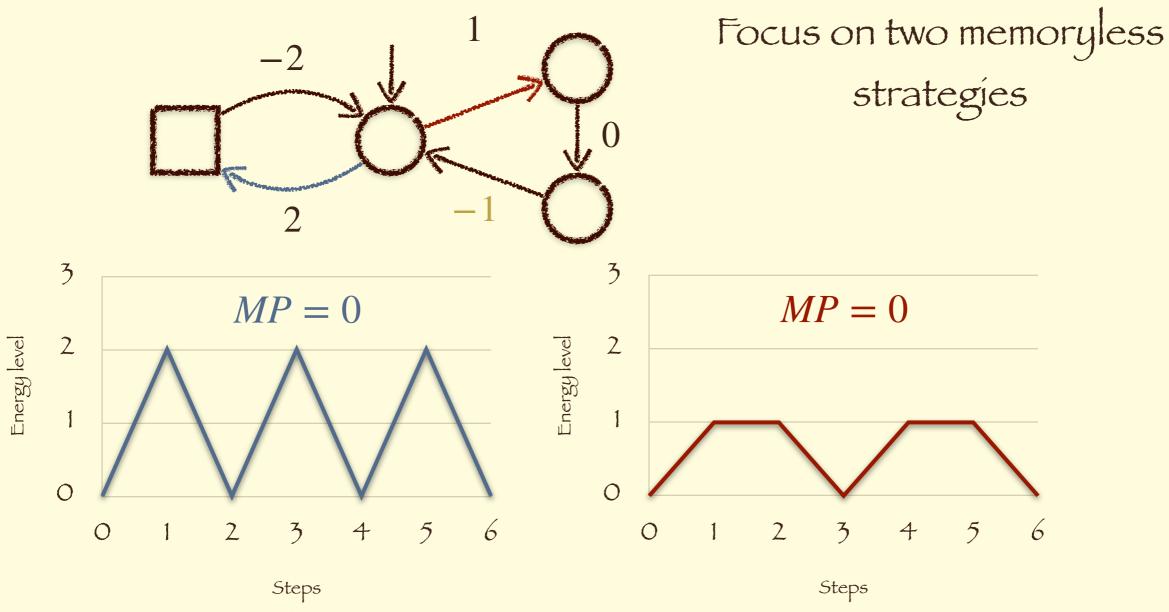


• Constraint on the energy level (EL)

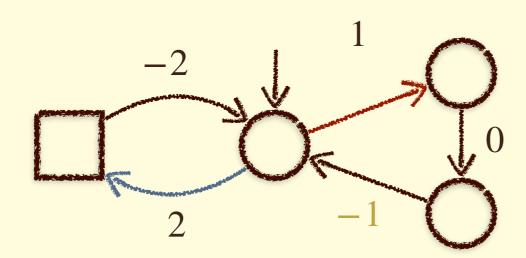


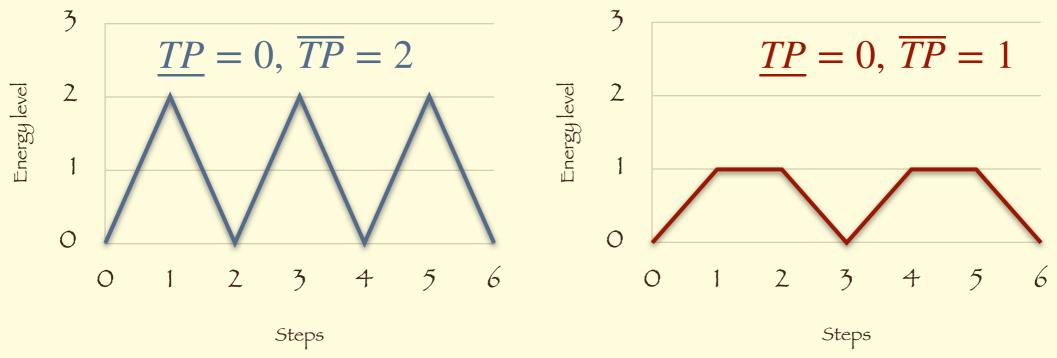


- Constraint on the energy level (EL)
- Mean-payoff (MP): long-run average payoff per transition

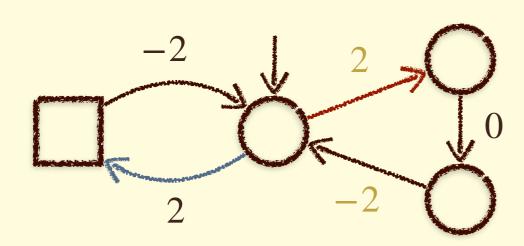


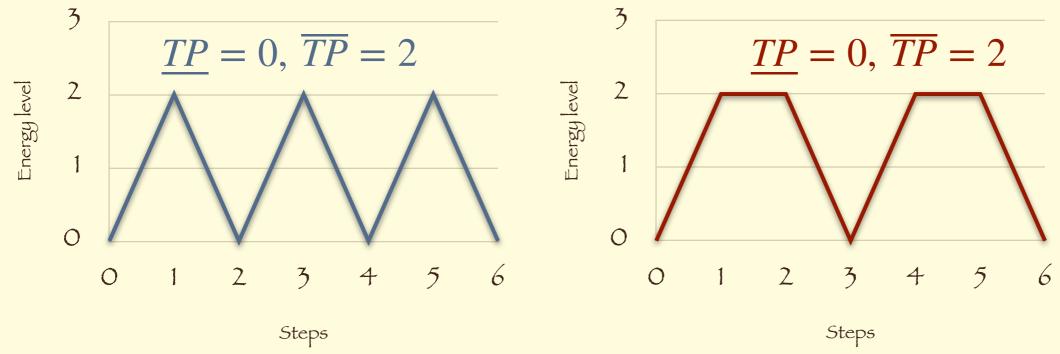
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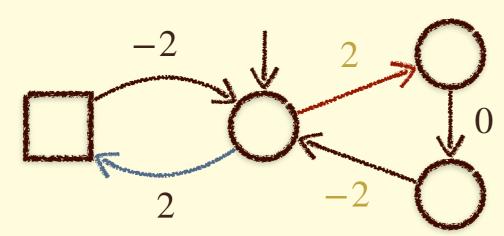


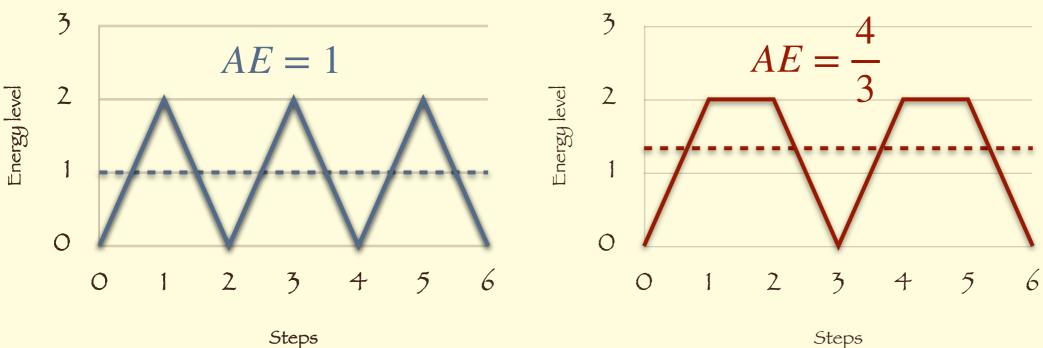
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- Mean-payoff (MP): long-run average payoff per transition
- Total-payoff (TP)



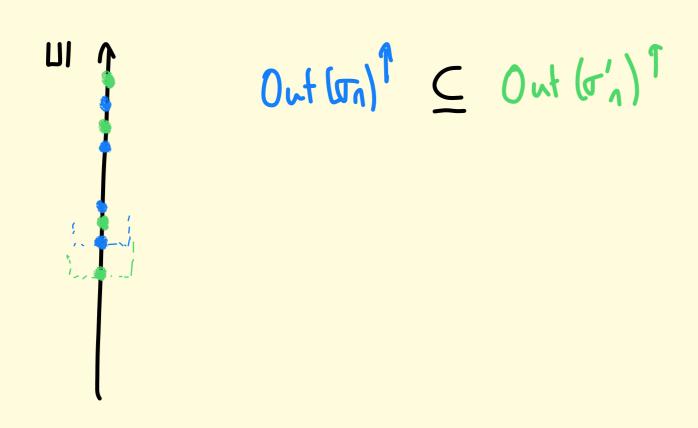


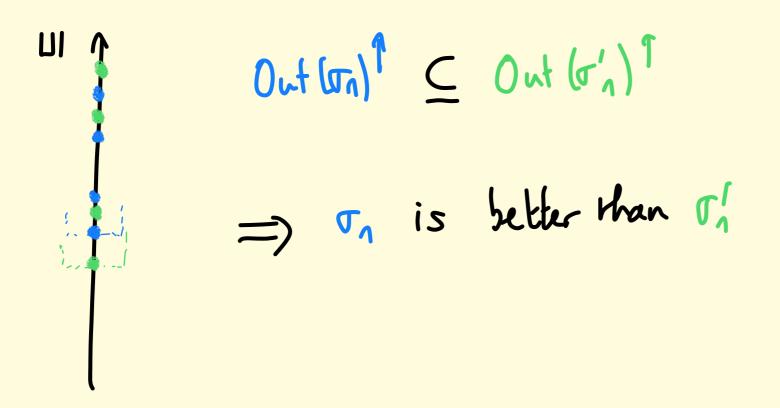
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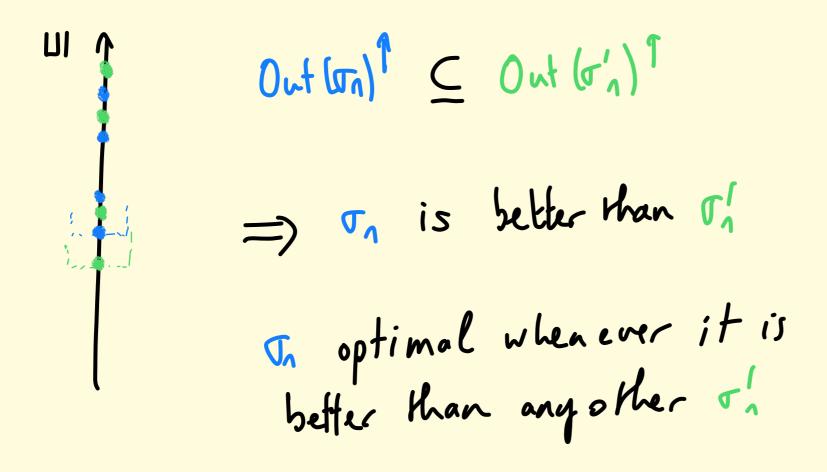




- Constraint on the energy level (EL)
- Mean-payoff (MP): long-run average payoff per transition
- Total-payoff (TP)
- Average-energy (AE)







#### Remark

- To be distinguished from:
  - $\epsilon$ -optimal
  - Subgame-perfect optimal (in our case: Nash equilibria)

# A focus on memoryless strategies

Quite often!

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#### Examples

• Reachability, safety, Büchi, parity, MP,  $EL \ge 0$ , TP, AE, etc...

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Can we characterize when they are?

#### YES!

And this is a beautiful result by Gimbert and Zielonka, CONCUR'05

# The memoryless story

Sufficient conditions

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• Characterization of the preference relations admitting optimal memoryless strategies for both players in all finite games [GZO5]

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Let ⊑ be a preference relation.

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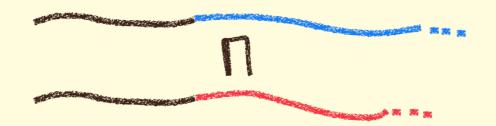
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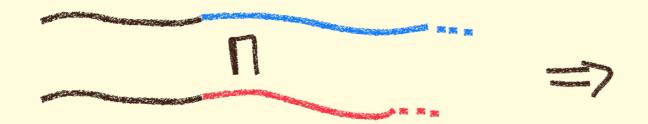


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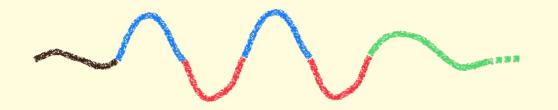
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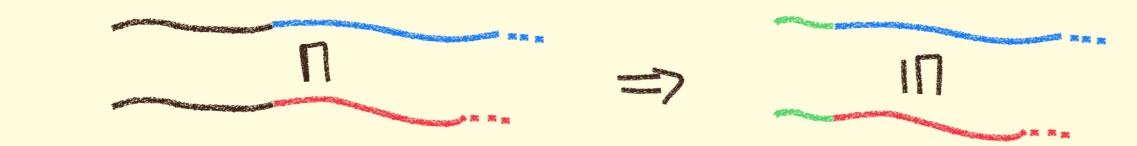
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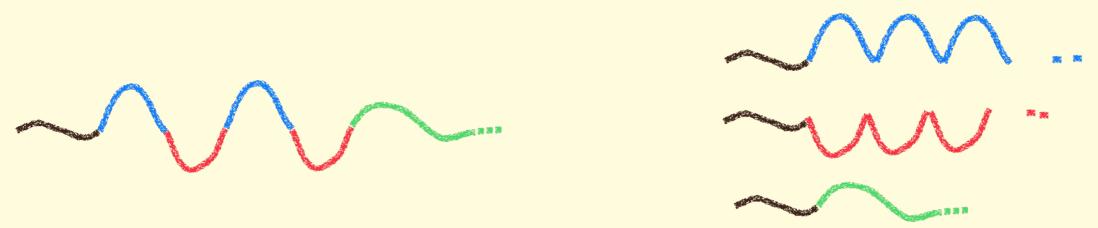
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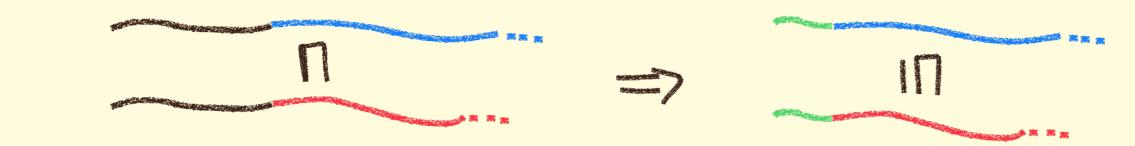


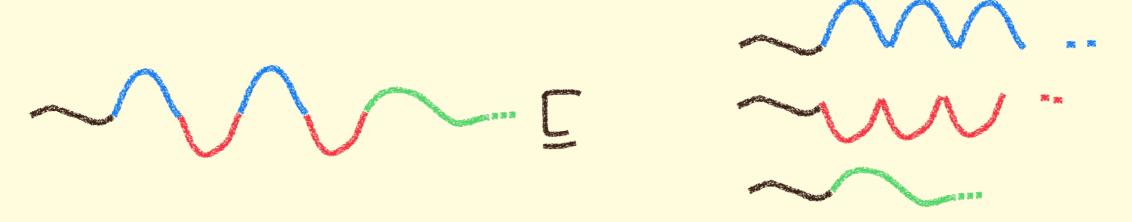
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### Characterization - Two-player games

The two following assertions are equivalent:

- 1. All finite games have memoryless optimal strategies for both players
- 2. Both  $\sqsubseteq$  and  $\sqsubseteq^{-1}$  are monotone and selective

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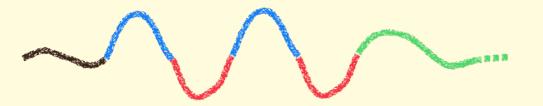
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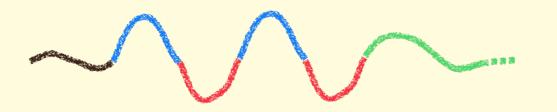
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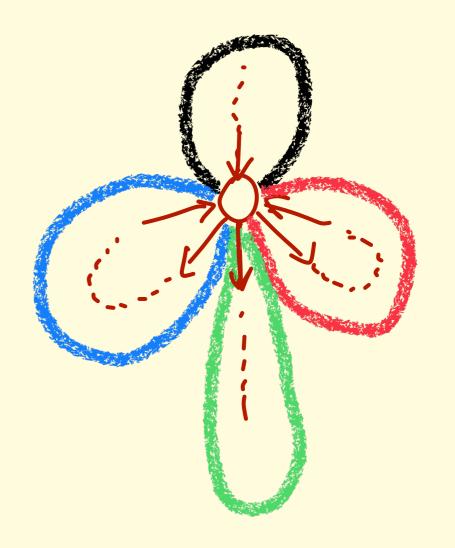
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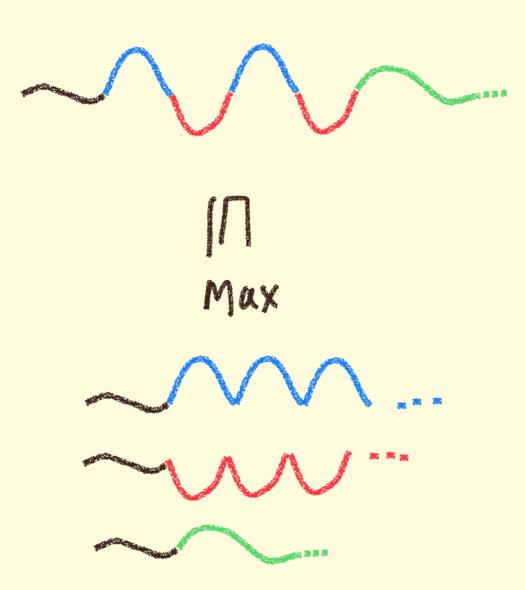
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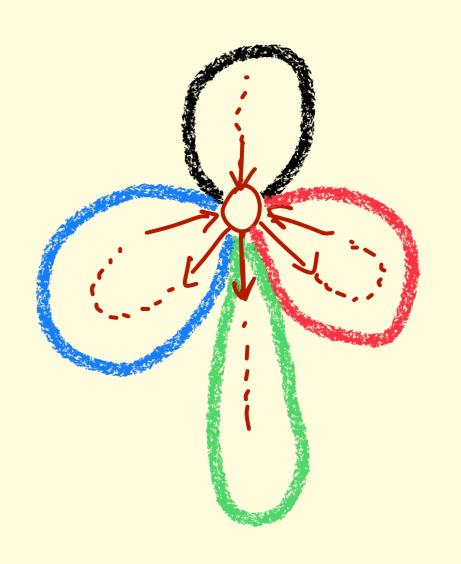
- 1. All finite  $P_1$ -games have (uniform) memoryless optimal strategies
- 2. ⊑ is monotone and selective



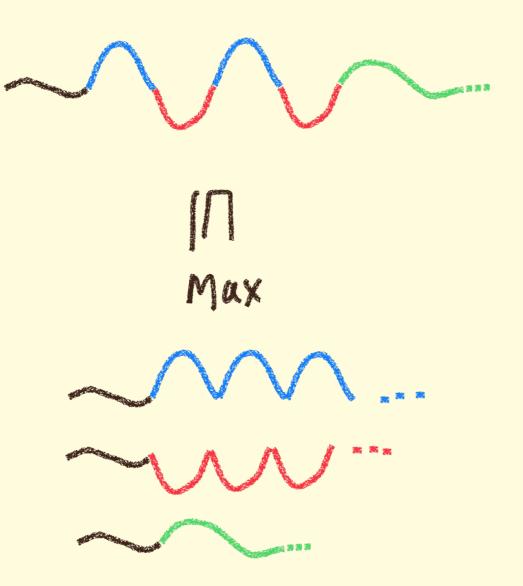


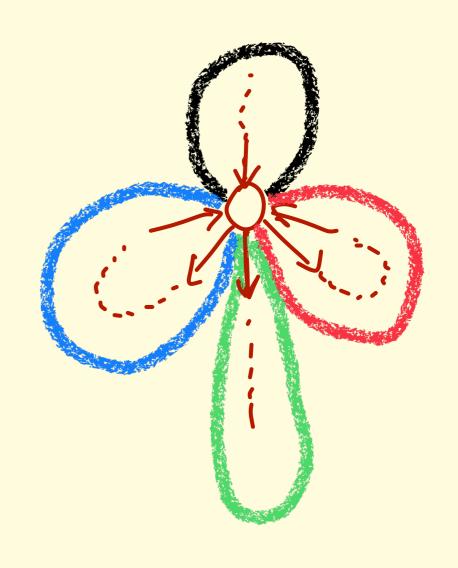






Assume all  $P_1$ -games have optimal memoryless strategies.



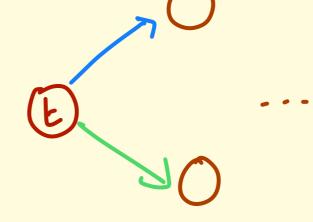


⊑ is selective

Assume ⊑ is monotone and selective.

The case of oneplayer games





one best choice between and wonotony)
t no reason to swap at t (selectivity)

No memory required at t!

## Applications

### Lifting theorem

• If in all finite one-player game for player  $P_i$ ,  $P_i$  has uniform memoryless optimal strategies, then both players have memoryless optimal strategies in all finite two-player games.

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#### Discussion

- Easy to analyse the one-player case (graph analysis)
  - Mean-payoff, average-energy [BMRLL15]
- Allows to deduce properties in the two-player case

### Examples

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## Discussion of examples

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 $((MP \in \mathbb{Q}) \land B\ddot{u}chi(A)) \lor coB\ddot{u}chi(B)$ 

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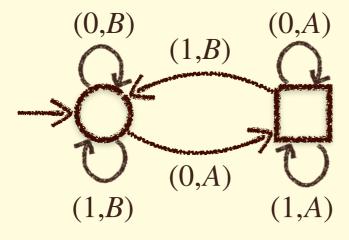
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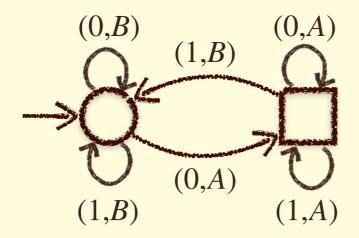
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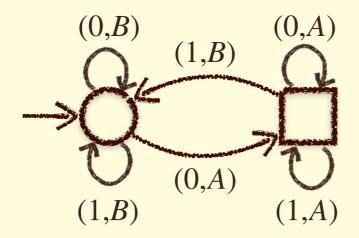
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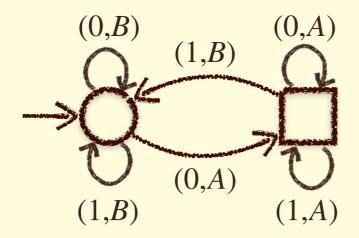
How should  $P_1$  play this game?

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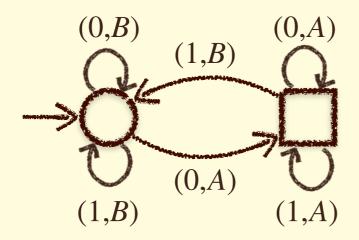


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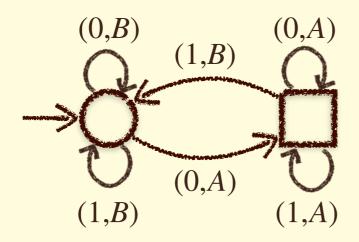


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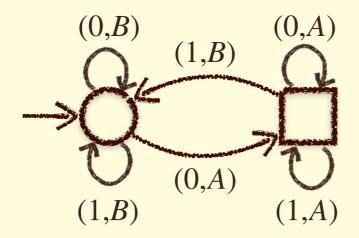


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- It requires infinite memory!

Winning condition for  $P_1$ :

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If only  $\sqsubseteq$  is monotone and selective,  $P_1$  might not have a memoryless optimal strategy

# Finite-memory strategies

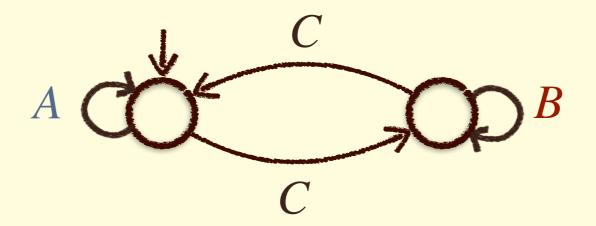
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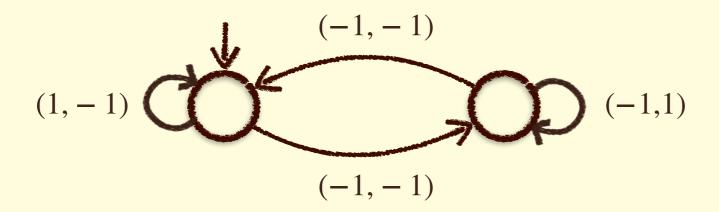
• Büchí(A)  $\land$  Büchí(B) requires finite memory



## We need memory!

Objectives/preference relations become more and more complex

- Büchí(A)  $\land$  Büchí(B) requires finite memory
- $MP_1 \ge 0 \land MP_2 \ge 0$  requires infinite memory



A priori no...

A príorí no...

Consider the following winning condition for  $P_1$ :

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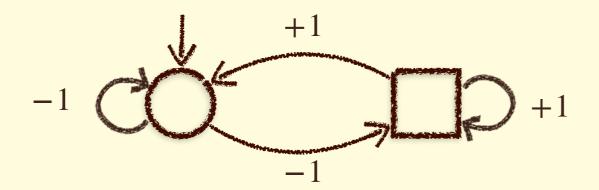
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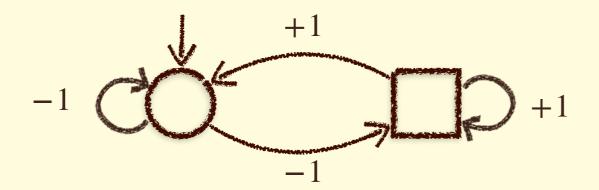


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 $P_1$  wins but uses infinite memory!

## How do we formalize finite memory? Standardly

### Standardly

• A strategy  $\sigma_i$  of player  $P_i$  has finite memory if it can be encoded as a Mealy machine  $(M, m_{\text{init}}, \alpha_{\text{upd}}, \alpha_{\text{next}})$  where M is finite,  $m_{\text{init}} \in M$ ,  $\alpha_{\text{upd}}: M \times S \to M$  and  $\alpha_{\text{next}}: M \times S_i \to E$ 

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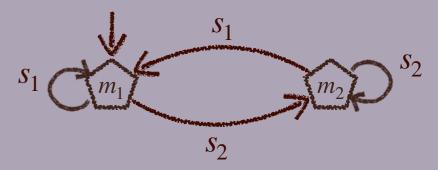
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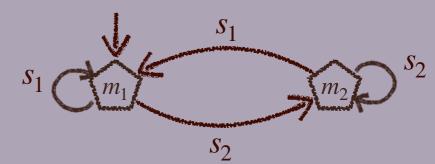


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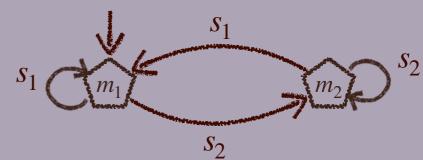
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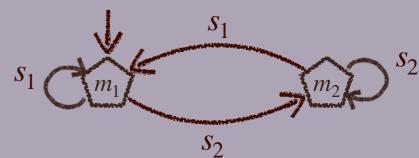
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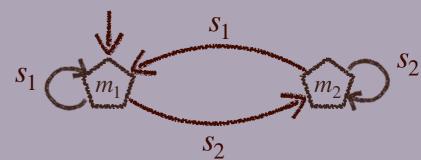
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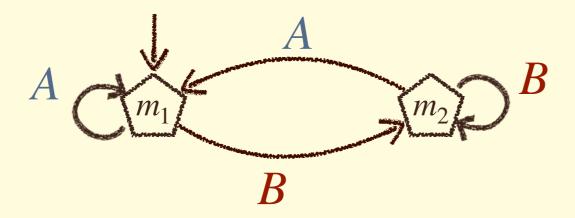
- The memory mechanism should not speak about information specific to particular games, hence:
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  - $\alpha_{\rm upd}$  can speak of colors (notion of « chromatic strategy » by Kopczynski)

## Memory skeleton

• 
$$\mathcal{M} = (M, m_{\text{init}}, \alpha_{\text{upd}})$$
 with  $m_{\text{init}} \in M$  and  $\alpha_{\text{upd}} : M \times C \to M$ 

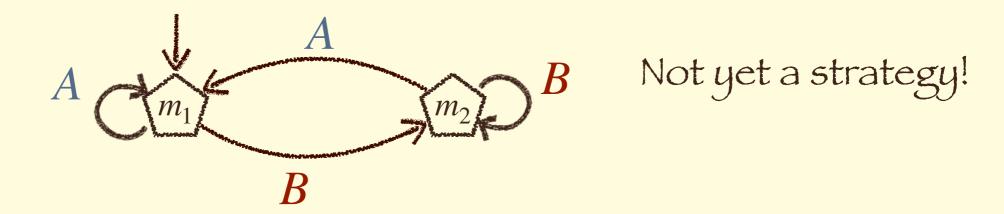
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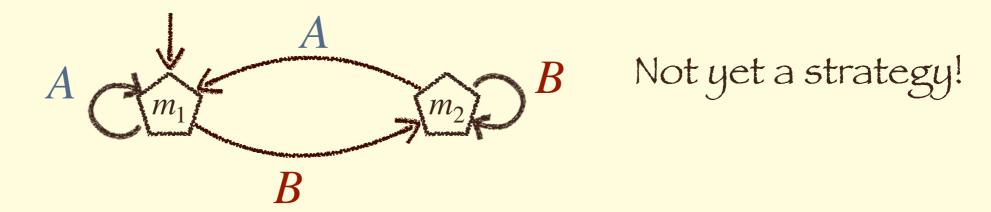
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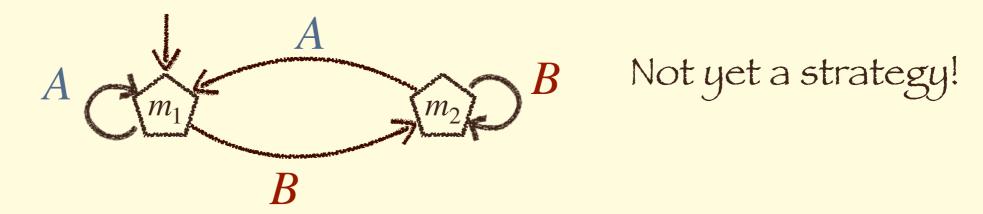


## Strategy with memory M

• Additional next-move function:  $\alpha_{\text{next}}: M \times S_i \to E$ 

## Memory skeleton

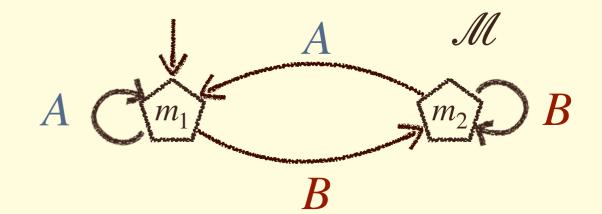
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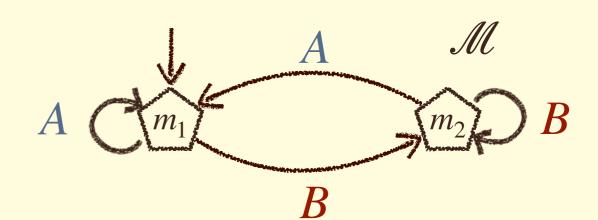


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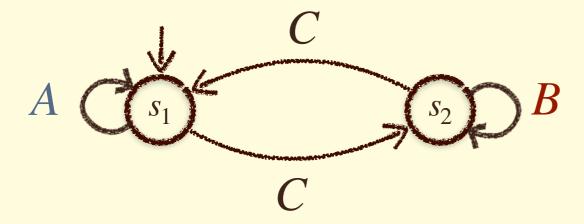
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The above skeleton is sufficient for the winning condition  $B\ddot{u}chi(A) \wedge B\ddot{u}chi(B)$ 

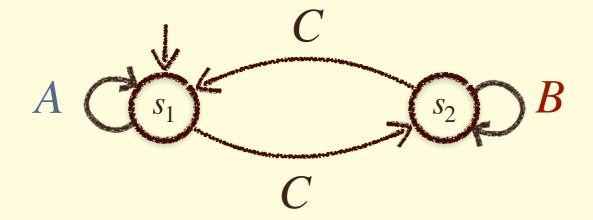


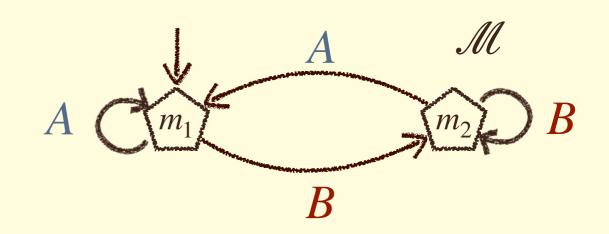


Game arena  $\mathcal{A}$ :



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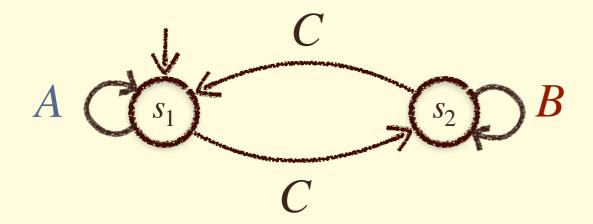




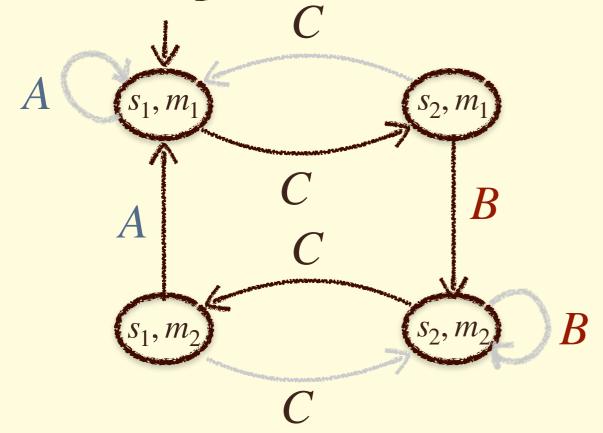
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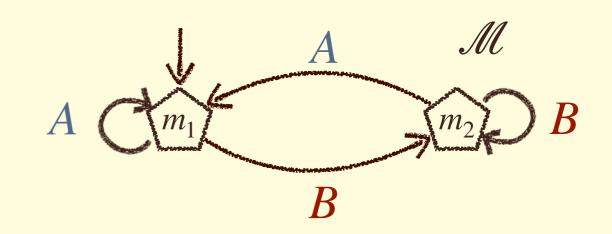
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Game arena  $\mathcal{A}$ :

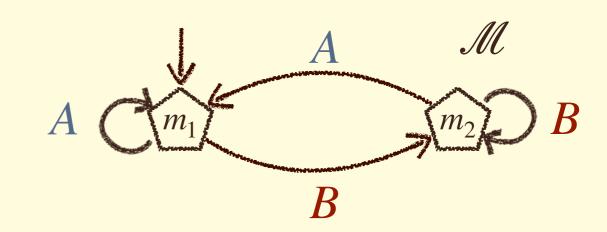


Product game  $\mathcal{A} \times \mathcal{M}$ :

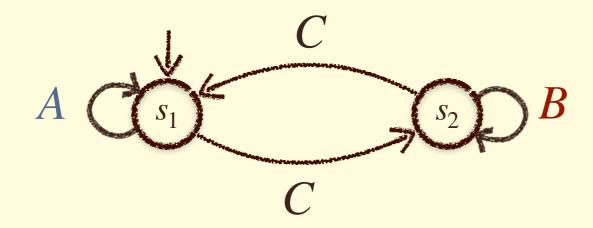




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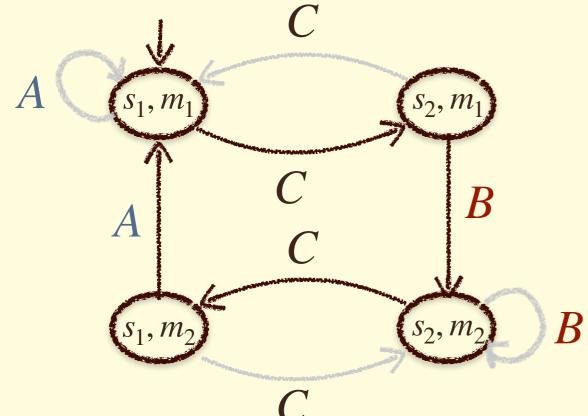


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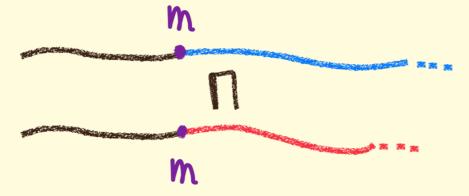
• One can however not apply the [GZ05] result to product games!

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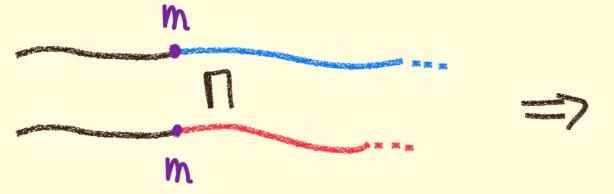
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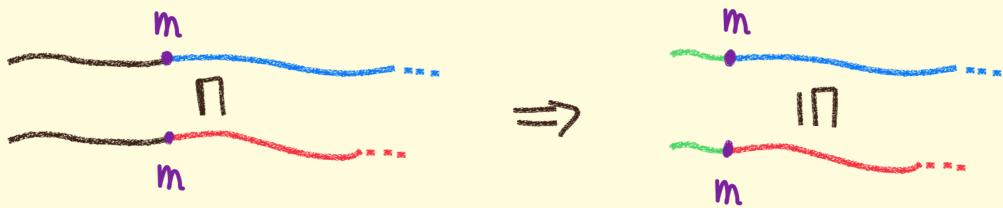
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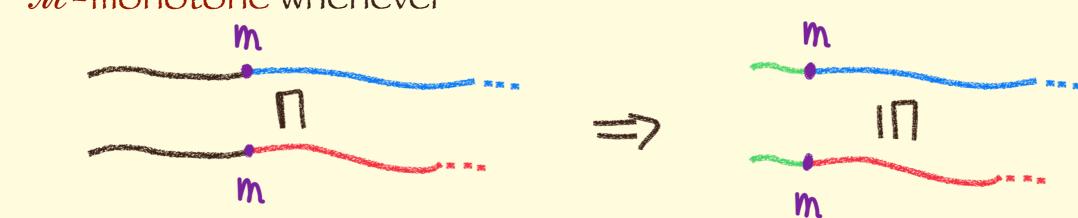
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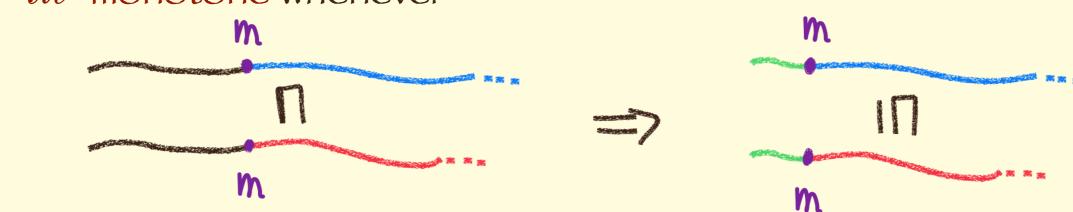
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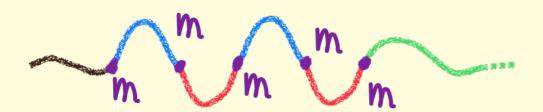


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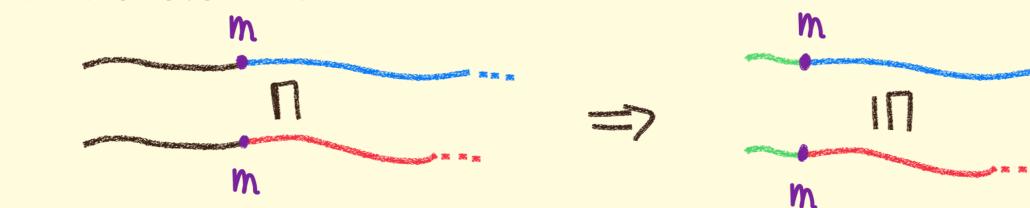




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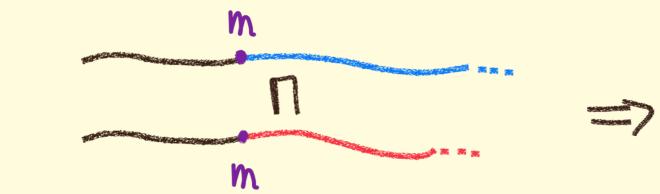


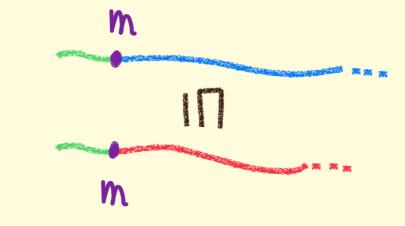


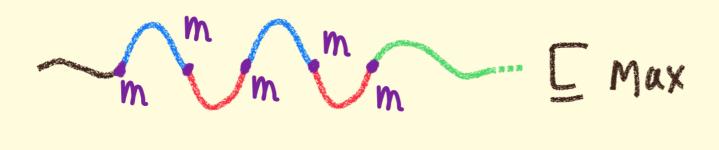
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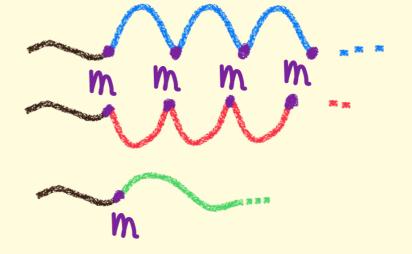
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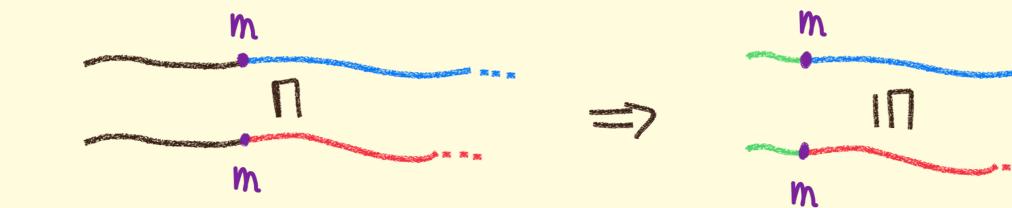




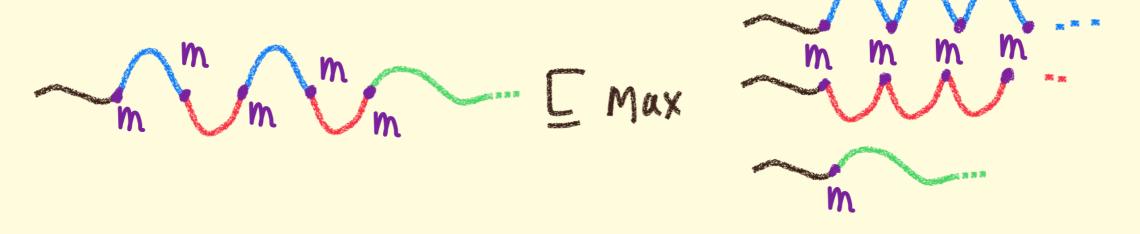
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• *M*-selective whenever



We look at how  ${\it M}$  classifies prefixes and cycles

#### Formal definitions of $\mathcal{M}$ -monotony and $\mathcal{M}$ -selectivity

#### Definition ( $\mathcal{M}$ -monotony)

Let  $\mathcal{M} = (M, m_{\text{init}}, \alpha_{\text{upd}})$  be a memory skeleton. A preference relation  $\sqsubseteq$  is  $\mathcal{M}$ -monotone if for all  $m \in M$ , for all  $K_1, K_2 \in \mathcal{R}(C)$ ,

$$\exists w \in L_{m_{\text{init}},m}, [wK_1] \sqsubset [wK_2] \implies \forall w' \in L_{m_{\text{init}},m}, [w'K_1] \sqsubseteq [w'K_2].$$

#### Definition ( $\mathcal{M}$ -selectivity)

Let  $\mathcal{M}=(M,m_{\text{init}},\alpha_{\text{upd}})$  be a memory skeleton. A preference relation  $\sqsubseteq$  is  $\mathcal{M}$ -selective if for all  $w\in C^*$ ,  $m=\widehat{\alpha_{\text{upd}}}(m_{\text{init}},w)$ , for all  $K_1,K_2\in\mathcal{R}(C)$  such that  $K_1,K_2\subseteq L_{m,m}$ , for all  $K_3\in\mathcal{R}(C)$ ,

$$[w(K_1 \cup K_2)^* K_3] \sqsubseteq [wK_1^*] \cup [wK_2^*] \cup [wK_3].$$

Characterization - Two-player games

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The two following assertions are equivalent:

- 1. All finite games have optimal  $\mathcal{M}$ -strategies for both players
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$$ightharpoonup$$
 We recover [GZ05] with  $\mathcal{M}=\mathcal{M}_{\mathrm{triv}}$ 

#### Transfer/Lifting theorem

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## Subclasses of games

• If both  $\sqsubseteq$  and  $\sqsubseteq^{-1}$  are  $\mathcal{M}$ -monotone and  $\mathcal{M}$ -selective, then both players have optimal memoryless strategies in all  $\mathcal{M}$ -covered games.

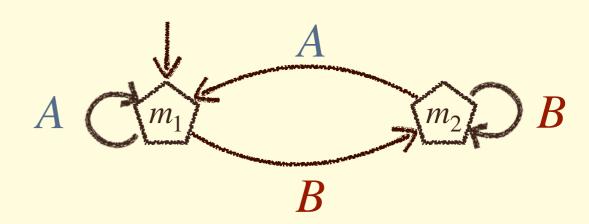
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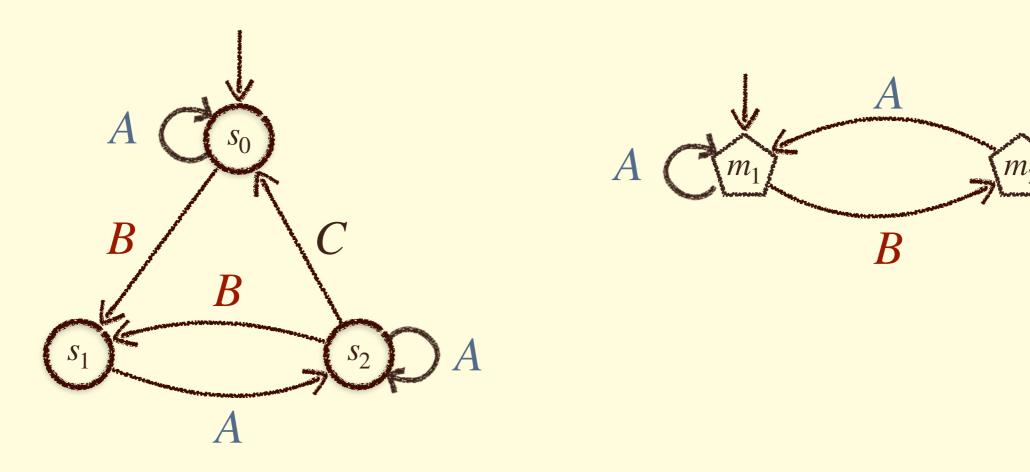
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### Memory-covered arenas

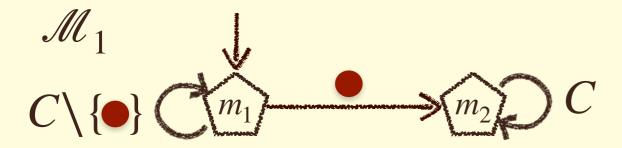
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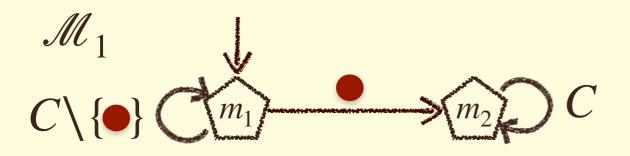


⊑ defined by a conjunction of reachability Reach ( ) ∧ Reach ( )

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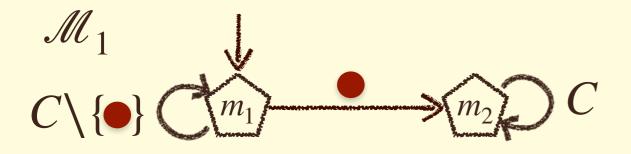


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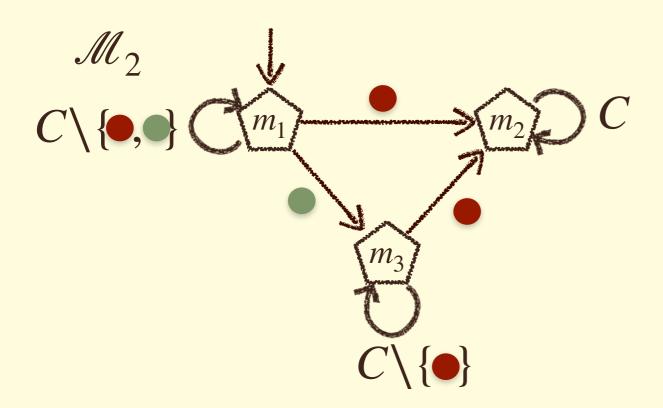


 $\sqsubseteq$  is  $\mathcal{M}_1$ -monotone, but not  $\mathcal{M}_1$ -selective

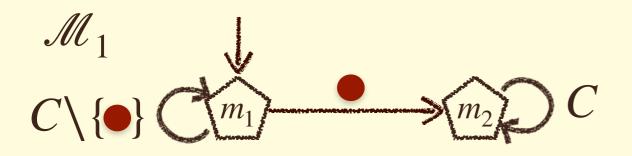
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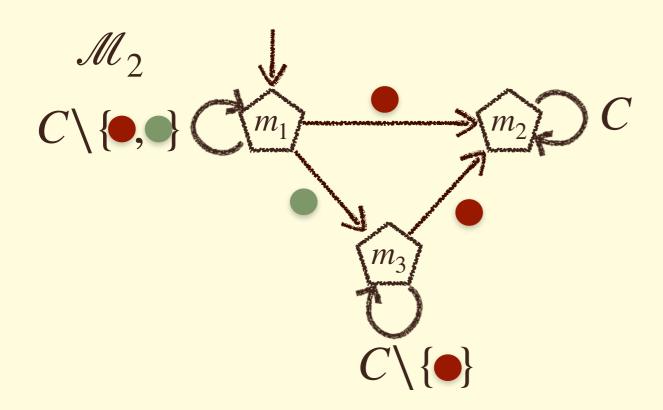
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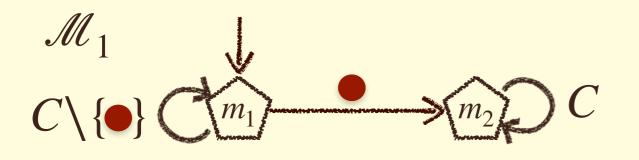


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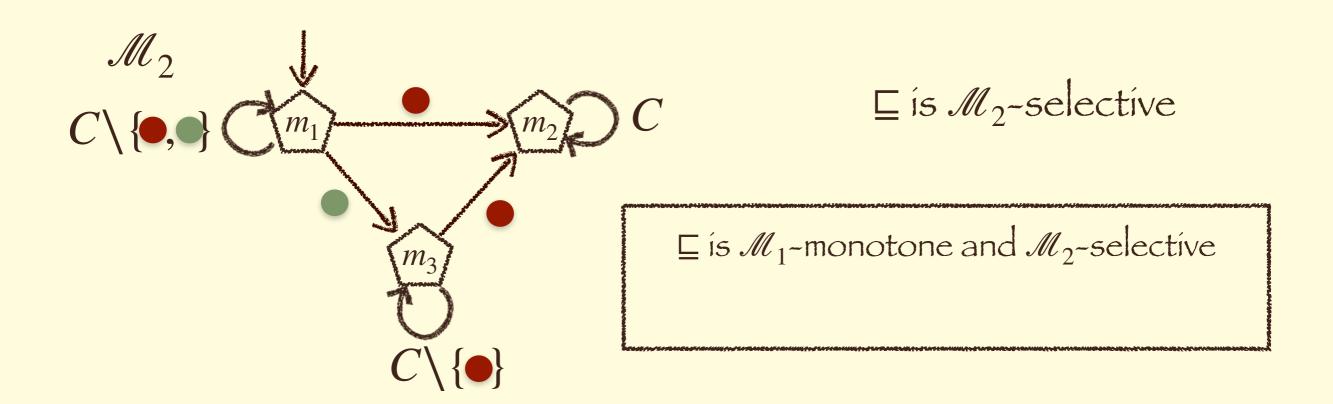


 $\sqsubseteq$  is  $\mathcal{M}_2$ -selective

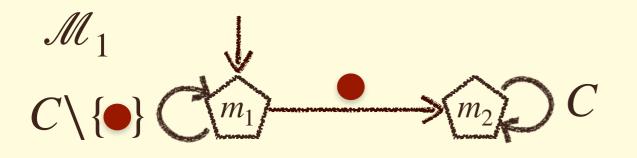
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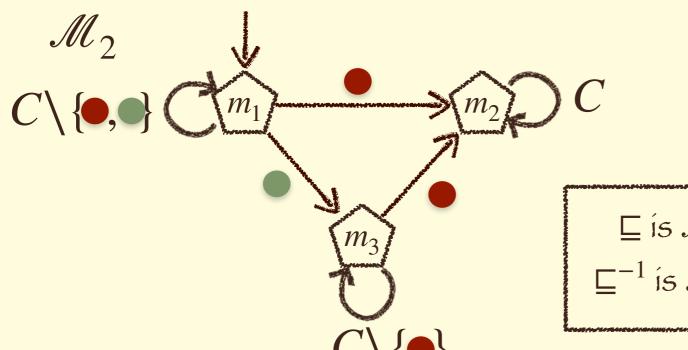
 $\sqsubseteq$  is  $\mathcal{M}_1$ -monotone, but not  $\mathcal{M}_1$ -selective



⊑ defined by a conjunction of reachability Reach ( ) ∧ Reach ( )



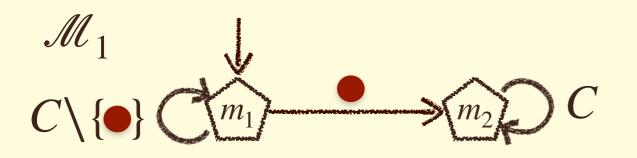
 $\sqsubseteq$  is  $\mathcal{M}_1$ -monotone, but not  $\mathcal{M}_1$ -selective



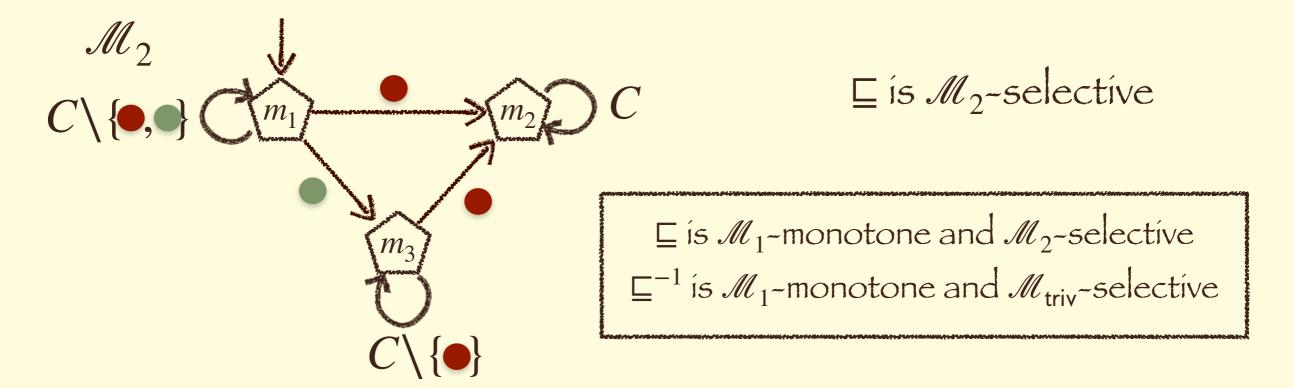
 $\sqsubseteq$  is  $\mathcal{M}_2$ -selective

 $\sqsubseteq \text{is } \mathcal{M}_1\text{-monotone and } \mathcal{M}_2\text{-selective} \\ \sqsubseteq^{-1} \text{is } \mathcal{M}_1\text{-monotone and } \mathcal{M}_{\text{triv}}\text{-selective}$ 

⊑ defined by a conjunction of reachability Reach ( ) ∧ Reach ( )



 $\sqsubseteq$  is  $\mathcal{M}_1$ -monotone, but not  $\mathcal{M}_1$ -selective



ightharpoonup Memory  $\mathcal{M}_2$  is sufficient for both players!!

#### A generalization of [GZ05]

- To arena-independent finite memory
- Applies to generalized reachability or parity, lower- and upperbounded (multi-dimension) energy games

### A generalization of [GZ05]

- To arena-independent finite memory
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#### Limitations

- Does only capture arena-independent finite memory
- Hard to generalize (remember counter-example)
- Does not apply to multi-dim. MP, MP+parity, energy+MP (infinite memory)

#### Other approaches

- Sufficient conditions giving half-memory management results
- Compositionality w.r.t. objectives [LPR18]

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- Sufficient conditions giving half-memory management results
- Compositionality w.r.t. objectives [LPR18]

#### Further work

- Understand the arena-dependent framework
- Infinite arenas
- Probabilistic setting
- Other concepts (Nash equilibria)